# <span id="page-0-0"></span>On the difference between Milnor number and Tjurina number of isolated singularities

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T_f := \frac{\mathbb{C}\{x_1, \dots, x_n\}}{(f, \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n})} \quad M_f := \frac{\mathbb{C}\{x_1, \dots, x_n\}}{(\frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n})}
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• The Milnor number is defined as

 $\mu := \dim_{\mathbb{C}} M_f.$ 

#### It is a topological invariant of the singularity

### Toy example

Let us consider the curve  $f(x,y) \,=\, y^7 - x^9 \,=\, 0.$  In this case  $M_f \,=\, T_f$ , by using SINGULAR we calculate  $\mu = \tau = 48$  and a basis for this algebra:

 $\{x^7y^5, x^6y^5, x^5y5, x^4y^5, x^3y^5, x^2y^5, xy^5, y^5, x^7y^4, x^6y^4, x^5y^4, x^4y^4, x^3y^4, x^2y^4,$  $xy^4, y^4, x^7y^3, x^6y^3, x^5y^3, x^4y^3, x^3y^3, x^2y^3, xy^3, y^3, x^7y^2, x^6y^2, x^5y^2, x^4y^2, x^3y^2,$  $x^2y^2, xy^2, y^2, x^7y, x^6y, x^5y, x^4y, x^3y, x^2y, xy, y, x^7, x^6, x^5, x^4, x^3, x^2, x, 1\}$ 

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$$
f(x, y) = y^{7} - x^{9} + x^{5}y^{5}
$$
  
\n
$$
\mu = 48
$$
  
\n
$$
\tau = 45
$$
  
\n
$$
f(x, y) = y^{7} - x^{9}
$$
  
\n
$$
\mu = 48
$$
  
\n
$$
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$$



$$
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$$

Two isolated hypersurface singularities defined by  $f$  and  $g$  have the same topological type if there is a homeomorphism  $\varphi : ({\mathbb C}^n, \mathbf{0}) \to ({\mathbb C}^n, \mathbf{0})$  such that  $\varphi(V_f) = V_a.$ 

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### Theorem (Lê 1973)

*If two isolated hypersurface singularities defined by* f *and* g *have the same topological type then*  $\mu(f) = \mu(g)$ .

Two isolated hypersurface singularities defined by  $f$  and  $g$  have the same analytic type if there is a biholomorphic map  $\phi:({\mathbb C}^n,{\bf 0})\to({\mathbb C}^n,{\bf 0})$  such that  $\phi(V_f) = V_a.$ 

Two isolated hypersurface singularities defined by  $f$  and  $q$  have the same analytic type if there is a biholomorphic map  $\phi:({\mathbb C}^n,{\bf 0})\to({\mathbb C}^n,{\bf 0})$  such that  $\phi(V_f) = V_a.$ 

### Theorem (Mather-Yau 1982)

*The hypersurface isolated singularities defined by* f *and* g *are analytically equivalent if and only if their Tjurina algebras are isomorphic as* C*–algebras.*

In particular, same analytic type  $\Rightarrow \tau(f) = \tau(g)$ .





(a) John Milnor 1931- (b) Galina N. Tjurina 1938-1970

### Theorem (K. Saito 1971)

Let  $f: \mathbb{C}^n \to \mathbb{C}$  be a germ of analytic function defining an isolated *hypersurface singularity at the origin. Then*

 $\mu = \tau \Leftrightarrow f$  *is quasihomogeneous* 

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### Theorem (Liu 2017)

Let  $f: \mathbb{C}^n \to \mathbb{C}$  be a germ of analytic function defining an isolated *hypersurface singularity at the origin. Then*

$$
\frac{\mu}{\tau}\leq n
$$

### Example (Dimca-Greuel)

Consider the families of curves

$$
X_a: x^{2a+1} + x^a y^{a+1} + y^{2a} = 0, \quad X_b: x^{2b+1} + x^{b+1} y^{b+1} + y^{2b+1} = 0.
$$

For those families  $\tau(X_a) = 3a^2$ ,  $\mu(X_a) = 2a(2a - 1)$ ,  $\mu(X_b) = 4b^2$ ,  $\tau(X_b) = 4b^2-(b-1)^2.$  Therefore, it follows that

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\mu/\tau \xrightarrow[a \to \infty]{} 4/3. \quad \mu/\tau \xrightarrow[b \to \infty]{} 4/3
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Conjecture (Dimca-Greuel 2017)

*Is for any plane curve singularity*  $\frac{\mu}{\tau} < \frac{4}{3}$  $\frac{4}{3}$  ?

### Proposition ( A.-Blanco, 2018)

f is said to be semi-quasi-homogeneous with weight  $w = (n, m)$  such that  $\gcd(n,m)\geq 1,\, n,m\geq 2$  and  $f=y^n-x^m+h.d.t.$  Then for any semi-quasi-homogeneous plane curve singularity  $\mu/\tau < 4/3$ .

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### Theorem (Alberich-A.-Blanco-Melle; Genzmer-Hernandes 2019)

For any equisingular class of germs of irreducible plane curve singularity,

$$
\tau_{min} = \sigma(n) + \frac{n^2 + 3n - 6}{2} + \sum_{p \text{ free}} \frac{(e_p - 1)(e_p + 2) + 2\sigma(e_p + 1)}{2} + \sum_{p \text{ sat.}} \frac{e_p(e_p - 1) + 2\sigma(e_p + 2)}{2},
$$

where the summation runs on all points  $p$  equal or infinitely near to the origin and  $\sigma(k) = \frac{(k-2)(k-4)}{4}$  if k is even and  $\sigma(k) = \frac{(k-3)^2}{4}$  if k is odd.

### Dimca and Greuel question for plane branches

### **Corollary**

For any plane branch singularity,

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\frac{\mu}{\tau} < \frac{4}{3}.
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Problems of the  $\tau_{min}$  approach:

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- $\bullet$  Too hard: We may find a formula for  $\tau_{min}$  and not to be able to estimate it.

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- Too restrictive: The results to prove the formula do not work for non irreducible curves.
- $\bullet$  Too hard: We may find a formula for  $\tau_{min}$  and not to be able to estimate it.
- $\bullet$  Why  $4/3?$



*...The little Hexagon meditated on this a while and then said to me; "But you have been teaching me to raise numbers to the third power: I suppose three-to-the-third must mean something in Geometry; what does it mean?" "Nothing at all", replied I, "not at least in Geometry; for Geometry has only Two Dimensions"....*

"Flatland, A Romance of Many Dimensions" by Edwin Abbott.

### Milnor and Tjurina numbers for surface singularities

Let  $(X,0) \in (\mathbb{C}^3,0)$  be an isolated surface singularity defined by an equation  $f \in \mathcal{O}_{\mathbb{C}^3,0}$ . Let  $\widetilde{X} \to X$  be a resolution of singularities of X.

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### **Definition**

A holomorphic 2–form in  $\mathbb{C}\{x, y, z\}$  is defined as

 $\omega = a(x, y, z)dx \wedge dy + b(x, y, z)dy \wedge dz + c(x, y, z)dx \wedge dz$ 

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A holomorphic form  $\omega$  on  $U'=X\setminus\{0\}$  is called of *first kind* if there exists a resolution  $\pi : \widetilde{X} \to X$  of the singularity  $X$  such that  $\pi^*(\omega)$  extends holomorphically to  $\tilde{X}$ 

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#### The geometric genus

$$
p_g := \dim \frac{\{\text{Holomorphic 2–forms on } U\}}{\{2{\text{-forms of first kind}}\}}.
$$

### DG Question from other perspective

Consider the germ of isolated surface singularity

$$
\Sigma: z^2 + f(x, y) = 0.
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Remark:  $C$ :  $f(x, y) = 0$  then  $\tau(C) = \tau(\Sigma)$  and  $\mu(C) = \mu(\Sigma)$ .

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#### Theorem (Tomari 1991)

*Let*  $p_q$  *be the geometric genus of* Σ *and*  $µ$  *its Milnor number. Then* 

 $8p_a + 1 \leq \mu$ .

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#### Theorem (Wahl 1985 )

Let  $(X,0) \in (\mathbb{C}^3,0)$  be an isolated surface singularity defined by an equation  $f \in \mathcal{O}_{\mathbb{C}^3,0}$ . Then

$$
\mu-\tau\leq 2p_g
$$

#### Theorem (A. 2019)

*For any germ of plane curve singularity*

$$
\frac{\mu}{\tau} < \frac{4}{3}.
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**Proof:** Let  $f(x, y) = 0$  be an equation of a germ of a plane curve singularity. Consider the surface singularity  $f(x,y) + z^2 = 0$ . Then, Wahl + Tomari give

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\mu-\tau\leq 2p_g<\mu/4\quad \Box
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Consequence: The bound  $4/3$  can be inferred from the geometry of the singularity.

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$$
f = x^{14} + y^6 z^8 + z^{14} + x^9 z^5 + (x + y + z)^{15}.
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We can compute with SINGULAR that the Milnor number is  $\mu = 2288$  and the Tjurina number is  $\tau = 1660$ . Therefore,  $\mu/\tau > 4/3$ .

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### Conjecture (Durfee 1978 )

*For any isolated surface singularity*  $(X, 0) \subset (\mathbb{C}^3, 0)$ 

 $6p_a \leq \mu$ .

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Some partial results by: Tomari (91), Ashikaga (93), Némethi (98), Melle-Hernández (2000), Kóllar and Némethi (2017), Enokizono (2018). Still open

### **Proposition**

Let  $(X,0) \subset (\mathbb{C}^3,0)$  be an isolated surface singularity of one of the following *types:*

- (1) *Quasi-homogeneous singularities,*
- (2) (X, 0) *of multiplicity* 3,
- (3) *absolutely isolated singularity,*
- (4) *suspension of the type*  $\{f(x,y) + z^N = 0\},\$
- (5) *the link of the singularity is an integral homology sphere,*
- (6) *the topological Euler characteristic of the exceptional divisor of the minimal resolution is positive.*

*Then*

$$
\frac{\mu}{\tau}<\frac{3}{2}
$$

**Remark:** All these cases are the cases for which Durfee conjecture is known to be true.

### Bound for surface singularities

ls  $\frac{\mu}{\tau} < \frac{3}{2}$  sharp?

Is  $\frac{\mu}{\tau} < \frac{3}{2}$  sharp? YES. Consider  $F(x, y, z) = x^d + y^d + z^d + g(x, y, z) = 0$  with  $deg(q) \geq d+1$ . Then, Wahl shows that

$$
\tau_{min} = (2d - 3)(d + 1)(d - 1)/3.
$$

Also,  $\mu=(d-1)^3.$  Then

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\frac{\mu}{\tau_{\min}} \xrightarrow[d \to \infty]{} \frac{3}{2}.
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### Conjecture (A. 2019)

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 $f:\mathbb{C}^{n+1}\to\mathbb{C}$  germ of isolated hypersurface singularity,  $t\in\mathbb{C}.$ The *Brieskorn lattice* is defined as

$$
H_0'' := \frac{\Omega_{\mathbb{C}^{n+1},0}^{n+1}}{df \wedge d\Omega_{\mathbb{C}^{n+1},0}^{n-1}}
$$

In the Brieskorn lattice there is an action of the differential operator  $\partial_t^{-1}$  defined as

$$
\partial_t^{-1}[\omega] := [df \wedge \alpha],
$$

where  $\omega\in\Omega^{n+1}_{\mathbb C^{n+1},0}$  and  $\alpha\in\Omega^n_{\mathbb C^{n+1},0}$  such that  $d\alpha=\omega.$  Also,  $t\omega:=f\omega.$ 

#### Proposition (Pham 70's)

 $H''_0$  is a  $\mathbb{C}\{\{\partial_t^{-1}\}\}$ –module

### Theorem (M. Saito 1989)

*There exists a basis*  $\{v_i\}$  *of*  $H_0''$  *as*  $\mathbb{C}\{\{\partial_t^{-1}\}\}\$ –module and matrices with *complex coefficient*  $A_0$ ,  $A_1$  *such that* 

$$
tv = A_0v + A_1\partial_t^{-1}v
$$

where  $v = (v_1, \ldots, v_{\mu})^t$ . Moreover,  $A_0$  is nilpotent and  $A_1$  is semisimple.

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#### **Definition**

The *exponents* of f are defined as the set of eigenvalues of the matrix  $A_1$ .

### Quasi-homogeneous singularities

 $f(x_0, \ldots, x_n)$  quasi-homogeneous of degree 1 with respect to the weigths  $(w_0, \ldots, w_n)$ , i.e.  $f(\eta^{w_0}x_0, \ldots, \eta^{w_n}x_n) = \eta f(x_0, \ldots, x_n)$ .

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$$
Sp_f(t) := \sum_{i=1}^{\mu} t^{\alpha_i} \in \mathbb{Z}[\mathbb{Q}]
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with  $\alpha_1, \ldots, \alpha_\mu$  the exponents of f. In this case we can compute  $\mathrm{Sp}_f(t)$  as

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Sp_f(t) = \frac{\prod_{i=0}^n (t - t^{w_i})}{\prod_{i=0}^n (t^{w_i} - 1)}.
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If we do  $t = \exp(\pi i \tau)$  and  $\chi_f = (1/\mu) \operatorname{Sp}_f(t),$ 

$$
\lim_{w_0,\dots,w_n\to 0} \chi_f(t) = \left(\exp(\pi i\tau) \frac{\sin(\pi \tau)}{\pi \tau}\right)^{n+1}
$$

$$
N_{n+1}(s)ds := \int_{x_0+\cdots x_n=s} \varphi(x_0)\cdots\varphi(x_n)dx_0\cdots dx_n,
$$

where  $\varphi$  is the indicator function of the unit interval [0, 1],

$$
\varphi(x) := \begin{cases} 1 & \text{if } x \in [0,1], \\ 0 & \text{if } x \notin [0,1]. \end{cases}
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The Fourier transform  $\mathcal{F}(N_{n+1}(\tau))$  of  $N_{n+1}(s)$  is:

$$
\int \exp(2\pi i\tau s)N_{n+1}(s)ds = \mathcal{F}(\varphi)(\tau)^{n+1} = \left(\exp(\pi i\tau)\frac{\sin(\pi \tau)}{\pi \tau}\right)^{n+1}.
$$

The normalized spectrum of  $f$ ,

$$
\chi_f(t) := \frac{\text{Sp}_f(T)}{\mu} = \frac{1}{\mu} \sum_{j=1}^{\mu} T^{\alpha_j},
$$

Making  $T = \exp(2\pi i t)$ , one gets the Fourier transform representation:

$$
\chi_f(t) := \frac{1}{\mu} \int \exp(2\pi i s \tau) \sum_{i=1}^{\mu} \delta(s - \alpha_i) ds,
$$

where  $\delta(s)$  is Dirac's delta function.

# K. Saito's problem

### Question (K. Saito 1983)

Let  $\alpha_1, \ldots, \alpha_\mu$  be the spectral values of an isolated hypersurface singularity, is

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\lim \chi_f = \mathcal{F}(N_{n+1}), \text{ or equivalently, } \lim \sum_{i=1}^{\mu} \delta(s - \alpha_i) ds = N_{n+1} ds \quad ?
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#### Theorem (K. Saito 1983)

- **1** For quasi-homogeneous singularities of degree with weights  $(w_0, \ldots, w_n)$ :  $\lim_{w_0,\ldots,w_n\to 0} \chi_f = \mathcal{F}(N_{n+1}).$
- **2** For an irreducible plane curve singularity with Puiseux pairs  $(n_1, l_1), \ldots, (n_q, l_q)$ ,  $\lim_{n_q \to \infty} \chi_f = \mathcal{F}(N_2).$

### Theorem (A.-Schulze 2020)

*For a fixed Newton diagram* Γ*, consider the Newton diagrams* \$Γ *obtained from* Γ *by scaling with the factor*  $\varpi$ *. Then we have*  $\lim_{\varpi \to \infty} \chi_{f_{\varpi}} = \mathcal{F}(N_{n+1})$ , where the limit *runs over all Newton non-degenerate*  $f_{\infty}$  *of*  $n + 1$  *variables with Newton diagram*  $\varpi \Gamma$ *.* 

Consider the function

$$
\Phi_f \colon [0,1] \to \mathbb{R}, \quad r \mapsto \int_0^r \left( N_{n+1}(s) - \frac{1}{\mu} \sum_{i=1}^{\mu} \delta(s - \alpha_i) \right) ds
$$

µ

By definition  $0 < r < \frac{n+1}{2}$  is a *dominating value* if  $\Phi_f(r) > 0$  for all  $f$  in  $n+1$ variables. A *weakly dominating value* is defined by replacing  $<$  by  $\leq$  and  $\int_{0}^{r}$ by  $\int_0^{r-\epsilon}$  for all  $\epsilon > 0$ .

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### Problem (K. Saito 1983)

- <sup>1</sup> *Determine the set of all dominating values and weakly dominating values for each* n.
- 2 *Is* 1/2 *a dominating value for all* n ≥ 1*?*
- **3** Is 1 a dominating value for all  $n \geq 2$  ?

### Related problems

#### The geometric genus

$$
p_g := \dim \frac{\{\text{Holomorphic } n\text{-forms on } U\}}{\{n\text{-forms of first kind}\}}.
$$

### Theorem (M. Saito 1983)

*Let*  $\{\alpha_1, \ldots, \alpha_u\}$  *be the exponents of f. Then,*  $p_q = |\{i \mid \alpha_i \leq 1\}|$ .

### Question (K. Saito 1983)

Is 1 a dominating value for all  $n \geq 2$ ? In other words, for is f in  $n + 1$  variables, is the geometric genus bounded by

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p_g < \frac{\mu}{(n+1)!}?
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### Question (K. Saito 1983)

Is 1 a dominating value for all  $n > 2$ ? In other words, for is f in  $n + 1$  variables, is the geometric genus bounded by

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$$
\mu = \dim \frac{H_0''}{\partial_t^{-1} H_0''} \qquad \tau = \dim \frac{H_0''}{t H_0'' + \partial_t^{-1} H_0''} \quad \mu - \tau = \dim \frac{t H_0'' + \partial_t^{-1} H_0''}{\partial_t^{-1} H_0''}
$$

For isolated complete intersection singularities defined by an ideal  $\mathcal{I} = (f_1, \ldots, f_k)$  of dimension  $n = N - k$ :

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\mu := \mathrm{rk} H_n(F), \quad \tau := \mathrm{dim}_{\mathbb{C}}(\mathrm{Ext}^1_{\mathcal{O}_{(X,0)}}(\Omega^1_{(X,0)}, \mathcal{O}_{(X,0)})).
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### Theorem ( $(\Leftarrow)$  Greuel 1980– ( $\Rightarrow$ ) Vosegaard 2002)

*If*  $(X, x)$  *is an isolated complete intersection singularity of dimension*  $n \geq 1$ ,

 $\mu = \tau \Leftrightarrow (X, x)$  *is quasihomogeneous.* 

Let  $(X,0) \subset (\mathbb{C}^N,0)$  be an isolated complete intersection singularity of dimension  $n\geq 1$  and codimension  $r=N-n.$  Is there exist an optimal  $\frac{b}{a}\in\mathbb{Q}$ with  $b < a$  such that

$$
\mu-\tau<\frac{b}{a}\mu\,\,?
$$

Where optimal means that there exist a family of singularities such that  $\mu/\tau$ tends to  $\frac{a}{a-b}$  when the multiplicity at the origin tends to infinity.

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• Case  $N = 2$ ,  $r = 1$  is  $\overline{\mathsf{D}\mathsf{G}} \Rightarrow a = 4, b = 1$ 

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- Case  $N = 2$ ,  $r = 1$  is DG  $\Rightarrow a = 4$ ,  $b = 1$
- Case  $r = N 1$  with arbitrary  $N+(X, 0)$  is an irreducible germ of curve with the semigroup of a plane curve singularity.  $\overline{DG} \Rightarrow a = 4, b = 1$

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- Case  $N = 3$  and  $r = 1$  Durfee  $\Rightarrow a = 3, b = 1$

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# <span id="page-63-0"></span>Thanks for the attention!!