On the difference between Milnor number and Tjurina number of isolated singularities

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 We denote by

$$T_f := \frac{\mathbb{C}\{x_1, \dots, x_n\}}{(f, \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n})} \quad M_f := \frac{\mathbb{C}\{x_1, \dots, x_n\}}{(\frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n})}$$

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• The Milnor number is defined as

 $\mu := \dim_{\mathbb{C}} M_f.$

It is a topological invariant of the singularity

Toy example

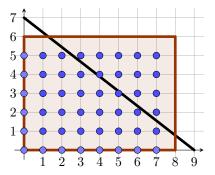
Let us consider the curve $f(x, y) = y^7 - x^9 = 0$. In this case $M_f = T_f$, by using SINGULAR we calculate $\mu = \tau = 48$ and a basis for this algebra:

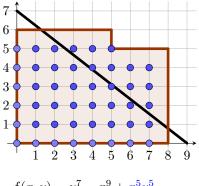
$$\begin{split} &\{x^7y^5, x^6y^5, x^5y5, x^4y^5, x^3y^5, x^2y^5, xy^5, y^5, x^7y^4, x^6y^4, x^5y^4, x^4y^4, x^3y^4, x^2y^4, \\ &xy^4, y^4, x^7y^3, x^6y^3, x^5y^3, x^4y^3, x^3y^3, x^2y^3, xy^3, y^3, x^7y^2, x^6y^2, x^5y^2, x^4y^2, x^3y^2, \\ &x^2y^2, xy^2, y^2, x^7y, x^6y, x^5y, x^4y, x^3y, x^2y, xy, y, x^7, x^6, x^5, x^4, x^3, x^2, x, 1 \rbrace \end{split}$$

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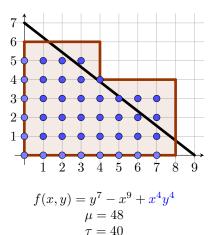
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$$f(x,y) = y^7 - x^9 + x^5 y^5$$
$$\mu = 48$$
$$\tau = 45$$



Two isolated hypersurface singularities defined by f and g have the same topological type if there is a homeomorphism $\varphi : (\mathbb{C}^n, \mathbf{0}) \to (\mathbb{C}^n, \mathbf{0})$ such that $\varphi(V_f) = V_g$.

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Theorem (Lê 1973)

If two isolated hypersurface singularities defined by f and g have the same topological type then $\mu(f)=\mu(g).$

Two isolated hypersurface singularities defined by f and g have the same analytic type if there is a biholomorphic map $\phi : (\mathbb{C}^n, \mathbf{0}) \to (\mathbb{C}^n, \mathbf{0})$ such that $\phi(V_f) = V_g$.

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Theorem (Mather-Yau 1982)

The hypersurface isolated singularities defined by f and g are analytically equivalent if and only if their Tjurina algebras are isomorphic as \mathbb{C} -algebras.

In particular, same analytic type $\Rightarrow \tau(f) = \tau(g)$.



(a) John Milnor 1931-



(b) Galina N. Tjurina 1938-1970

Theorem (K. Saito 1971)

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Theorem (Liu 2017)

Let $f : \mathbb{C}^n \to \mathbb{C}$ be a germ of analytic function defining an isolated hypersurface singularity at the origin. Then

$$\frac{\mu}{\tau} \le n$$

Example (Dimca-Greuel)

Consider the families of curves

$$X_a: x^{2a+1} + x^a y^{a+1} + y^{2a} = 0, \quad X_b: x^{2b+1} + x^{b+1} y^{b+1} + y^{2b+1} = 0.$$

For those families $\tau(X_a) = 3a^2$, $\mu(X_a) = 2a(2a-1)$, $\mu(X_b) = 4b^2$, $\tau(X_b) = 4b^2 - (b-1)^2$. Therefore, it follows that

$$\mu/\tau \xrightarrow[a \to \infty]{a \to \infty} 4/3. \quad \mu/\tau \xrightarrow[b \to \infty]{a \to \infty} 4/3$$

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Conjecture (Dimca-Greuel 2017)

Is for any plane curve singularity $\frac{\mu}{\tau} < \frac{4}{3}$?

Proposition (A.-Blanco, 2018)

f is said to be semi-quasi-homogeneous with weight w = (n, m) such that $gcd(n, m) \ge 1$, $n, m \ge 2$ and $f = y^n - x^m + h.d.t$. Then for any semi-quasi-homogeneous plane curve singularity $\mu/\tau < 4/3$.

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Theorem (Alberich-A.-Blanco-Melle; Genzmer-Hernandes 2019)

For any equisingular class of germs of irreducible plane curve singularity,

$$\begin{split} \tau_{min} = \sigma(n) + \frac{n^2 + 3n - 6}{2} + \sum_{p \text{ free}} \frac{(e_p - 1)(e_p + 2) + 2\sigma(e_p + 1)}{2} \\ + \sum_{p \text{ sat.}} \frac{e_p(e_p - 1) + 2\sigma(e_p + 2)}{2}, \end{split}$$

where the summation runs on all points p equal or infinitely near to the origin and $\sigma(k) = \frac{(k-2)(k-4)}{4}$ if k is even and $\sigma(k) = \frac{(k-3)^2}{4}$ if k is odd.

Dimca and Greuel question for plane branches

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- Too hard: We may find a formula for τ_{min} and not to be able to estimate it.
- Why 4/3?



...The little Hexagon meditated on this a while and then said to me; "But you have been teaching me to raise numbers to the third power: I suppose three-to-the-third must mean something in Geometry; what does it mean?" "Nothing at all", replied I, "not at least in Geometry; for Geometry has only Two Dimensions"....

"Flatland, A Romance of Many Dimensions" by Edwin Abbott.

Milnor and Tjurina numbers for surface singularities

Let $(X, 0) \in (\mathbb{C}^3, 0)$ be an isolated surface singularity defined by an equation $f \in \mathcal{O}_{\mathbb{C}^3, 0}$. Let $\widetilde{X} \to X$ be a resolution of singularities of X.

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Definition

A holomorphic 2–form in $\mathbb{C}\{x, y, z\}$ is defined as

 $\omega = a(x,y,z)dx \wedge dy + b(x,y,z)dy \wedge dz + c(x,y,z)dx \wedge dz$

Definition

A holomorphic form ω on $U' = X \setminus \{0\}$ is called of *first kind* if there exists a resolution $\pi : \widetilde{X} \to X$ of the singularity X such that $\pi^*(\omega)$ extends holomorphically to \widetilde{X}

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The geometric genus

$$p_g := \dim \frac{\{\text{Holomorphic } 2\text{--forms on } U\}}{\{2\text{--forms of first kind}\}}$$

DG Question from other perspective

Consider the germ of isolated surface singularity

$$\Sigma: z^2 + f(x, y) = 0.$$

Remark: C : f(x, y) = 0 then $\tau(C) = \tau(\Sigma)$ and $\mu(C) = \mu(\Sigma)$.

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Theorem (Tomari 1991)

Let p_g be the geometric genus of Σ and μ its Milnor number. Then

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Theorem (Wahl 1985)

Let $(X, 0) \in (\mathbb{C}^3, 0)$ be an isolated surface singularity defined by an equation $f \in \mathcal{O}_{\mathbb{C}^3,0}$. Then

$$\mu - \tau \le 2p_g$$

Theorem (A. 2019)

For any germ of plane curve singularity

$$\frac{\mu}{\tau} < \frac{4}{3}.$$

Proof: Let f(x, y) = 0 be an equation of a germ of a plane curve singularity. Consider the surface singularity $f(x, y) + z^2 = 0$. Then, Wahl + Tomari give

$$\mu - \tau \le 2p_g < \mu/4 \quad \Box$$

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Consequence: The bound 4/3 can be inferred from the geometry of the singularity.

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$$f = x^{14} + y^6 z^8 + z^{14} + x^9 z^5 + (x + y + z)^{15}.$$

We can compute with SINGULAR that the Milnor number is $\mu = 2288$ and the Tjurina number is $\tau = 1660$. Therefore, $\mu/\tau > 4/3$.

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What is the bound for surface singularities?

Conjecture (Durfee 1978)

For any isolated surface singularity $(X, 0) \subset (\mathbb{C}^3, 0)$

 $6p_g \le \mu.$

Durfee conjecture and the bound for surface singularities

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Some partial results by: Tomari (91), Ashikaga (93), Némethi (98), Melle-Hernández (2000), Kóllar and Némethi (2017), Enokizono (2018). Still open

Proposition

Let $(X,0) \subset (\mathbb{C}^3,0)$ be an isolated surface singularity of one of the following types:

- (1) Quasi-homogeneous singularities,
- (2) (X,0) of multiplicity 3,
- (3) absolutely isolated singularity,
- (4) suspension of the type $\{f(x, y) + z^N = 0\},\$
- (5) the link of the singularity is an integral homology sphere,
- (6) the topological Euler characteristic of the exceptional divisor of the minimal resolution is positive.

Then

$$\frac{\mu}{\tau} < \frac{3}{2}$$

Remark: All these cases are the cases for which Durfee conjecture is known to be true.

Bound for surface singularities

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$$\tau_{min} = (2d - 3)(d + 1)(d - 1)/3.$$

Also, $\mu = (d - 1)^3$. Then

$$\frac{\mu}{\tau_{\min}} \xrightarrow[d \to \infty]{} \frac{3}{2}.$$

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Conjecture (A. 2019)

For any $(X,0) \subset (\mathbb{C}^3,0)$ isolated surface singularity:

$$\frac{\mu}{\tau} < \frac{3}{2}.$$

 $f: \mathbb{C}^{n+1} \to \mathbb{C}$ germ of isolated hypersurface singularity, $t \in \mathbb{C}$. The *Brieskorn lattice* is defined as

$$H_0'' := \frac{\Omega_{\mathbb{C}^{n+1},0}^{n+1}}{df \wedge d\Omega_{\mathbb{C}^{n+1},0}^{n-1}}$$

In the Brieskorn lattice there is an action of the differential operator ∂_t^{-1} defined as

$$\partial_t^{-1}[\omega] := [df \wedge \alpha],$$

where $\omega \in \Omega^{n+1}_{\mathbb{C}^{n+1},0}$ and $\alpha \in \Omega^{n}_{\mathbb{C}^{n+1},0}$ such that $d\alpha = \omega$. Also, $t\omega := f\omega$.

Proposition (Pham 70's)

 H_0'' is a $\mathbb{C}\{\{\partial_t^{-1}\}\}$ -module

Theorem (M. Saito 1989)

There exists a basis $\{v_i\}$ of H''_0 as $\mathbb{C}\{\{\partial_t^{-1}\}\}$ -module and matrices with complex coefficient A_0, A_1 such that

$$tv = A_0 v + A_1 \partial_t^{-1} v$$

where $v = (v_1, \ldots, v_\mu)^t$. Moreover, A_0 is nilpotent and A_1 is semisimple.

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Definition

The *exponents* of f are defined as the set of eigenvalues of the matrix A_1 .

Quasi-homogeneous singularities

 $f(x_0, \ldots, x_n)$ quasi-homogeneous of degree 1 with respect to the weigths (w_0, \ldots, w_n) , i.e. $f(\eta^{w_0} x_0, \ldots, \eta^{w_n} x_n) = \eta f(x_0, \ldots, x_n)$.

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$$\operatorname{Sp}_f(t) := \sum_{i=1}^{\mu} t^{\alpha_i} \in \mathbb{Z}[\mathbb{Q}]$$

with $\alpha_1, \ldots, \alpha_\mu$ the exponents of *f*. In this case we can compute $\text{Sp}_f(t)$ as

$$\operatorname{Sp}_{f}(t) = \frac{\prod_{i=0}^{n} (t - t^{w_{i}})}{\prod_{i=0}^{n} (t^{w_{i}} - 1)}.$$

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If we do $t = \exp(\pi i \tau)$ and $\chi_f = (1/\mu) \operatorname{Sp}_f(t)$,

$$\lim_{w_0,\dots,w_n\to 0} \chi_f(t) = \left(\exp(\pi i\tau)\frac{\sin(\pi\tau)}{\pi\tau}\right)^{n+1}$$

$$N_{n+1}(s)ds := \int_{x_0 + \cdots + x_n = s} \varphi(x_0) \cdots \varphi(x_n) dx_0 \cdots dx_n,$$

where φ is the indicator function of the unit interval [0, 1],

$$\varphi(x) := \begin{cases} 1 & \text{if } x \in [0,1], \\ 0 & \text{if } x \notin [0,1]. \end{cases}$$

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The Fourier transform $\mathcal{F}(N_{n+1}(\tau))$ of $N_{n+1}(s)$ is:

$$\int \exp(2\pi i\tau s) N_{n+1}(s) ds = \mathcal{F}(\varphi)(\tau)^{n+1} = \left(\exp(\pi i\tau)\frac{\sin(\pi\tau)}{\pi\tau}\right)^{n+1}.$$

The normalized spectrum of f,

$$\chi_f(t) := \frac{\operatorname{Sp}_f(T)}{\mu} = \frac{1}{\mu} \sum_{j=1}^{\mu} T^{\alpha_j},$$

Making $T = \exp(2\pi i t)$, one gets the Fourier transform representation:

$$\chi_f(t) := \frac{1}{\mu} \int \exp(2\pi i s\tau) \sum_{i=1}^{\mu} \delta(s - \alpha_i) ds,$$

where $\delta(s)$ is Dirac's delta function.

K. Saito's problem

Question (K. Saito 1983)

Let $\alpha_1, \ldots, \alpha_\mu$ be the spectral values of an isolated hypersurface singularity, is

$$\lim \chi_f = \mathcal{F}(N_{n+1}), \text{ or equivalently, } \lim \sum_{i=1}^{\mu} \delta(s - \alpha_i) ds = N_{n+1} ds \quad ?$$

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Theorem (K. Saito 1983)

- For quasi-homogeneous singularities of degree with weights (w_0, \ldots, w_n) : $\lim_{w_0, \ldots, w_n \to 0} \chi_f = \mathcal{F}(N_{n+1}).$
- **2** For an irreducible plane curve singularity with Puiseux pairs $(n_1, l_1), \ldots, (n_g, l_g)$, $\lim_{n_g \to \infty} \chi_f = \mathcal{F}(N_2)$.

Theorem (A.-Schulze 2020)

For a fixed Newton diagram Γ , consider the Newton diagrams $\varpi\Gamma$ obtained from Γ by scaling with the factor ϖ . Then we have $\lim_{\varpi \to \infty} \chi_{f_{\varpi}} = \mathcal{F}(N_{n+1})$, where the limit runs over all Newton non-degenerate f_{ϖ} of n + 1 variables with Newton diagram $\varpi\Gamma$.

Consider the function

$$\Phi_f \colon [0,1] \to \mathbb{R}, \quad r \mapsto \int_0^r \left(N_{n+1}(s) - \frac{1}{\mu} \sum_{i=1}^\mu \delta(s - \alpha_i) \right) ds$$

By definition $0 < r < \frac{n+1}{2}$ is a *dominating value* if $\Phi_f(r) > 0$ for all f in n + 1 variables. A *weakly dominating value* is defined by replacing < by \leq and \int_0^r by $\int_0^{r-\epsilon}$ for all $\epsilon > 0$.

Consider the function

$$\Phi_f \colon [0,1] \to \mathbb{R}, \quad r \mapsto \int_0^r \left(N_{n+1}(s) - \frac{1}{\mu} \sum_{i=1}^\mu \delta(s - \alpha_i) \right) ds$$

By definition $0 < r < \frac{n+1}{2}$ is a *dominating value* if $\Phi_f(r) > 0$ for all f in n + 1 variables. A *weakly dominating value* is defined by replacing < by \leq and \int_0^r by $\int_0^{r-\epsilon}$ for all $\epsilon > 0$.

Problem (K. Saito 1983)

- Determine the set of all dominating values and weakly dominating values for each n.
- **2** Is 1/2 a dominating value for all $n \ge 1$?
- Is 1 a dominating value for all $n \ge 2$?

Related problems

The geometric genus

$$p_g := \dim \frac{\{\text{Holomorphic } n\text{-forms on } U\}}{\{n\text{-forms of first kind}\}}$$

Theorem (M. Saito 1983)

Let $\{\alpha_1, \ldots, \alpha_\mu\}$ be the exponents of f. Then, $p_g = |\{i \mid \alpha_i \leq 1\}|$.

Question (K. Saito 1983)

Is 1 a dominating value for all $n \geq 2?$ In other words, for is f in n+1 variables, is the geometric genus bounded by

$$p_g < \frac{\mu}{(n+1)!}?$$

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$$\mu = \dim \frac{H_0''}{\partial_t^{-1} H_0''} \qquad \tau = \dim \frac{H_0''}{t H_0'' + \partial_t^{-1} H_0''} \quad \mu - \tau = \dim \frac{t H_0'' + \partial_t^{-1} H_0''}{\partial_t^{-1} H_0''}$$

Definition

For isolated complete intersection singularities defined by an ideal $\mathcal{I} = (f_1, \dots, f_k)$ of dimension n = N - k:

$$\mu := \operatorname{rk} H_n(F), \quad \tau := \dim_{\mathbb{C}} (\operatorname{Ext}^1_{\mathcal{O}_{(X,0)}}(\Omega^1_{(X,0)}, \mathcal{O}_{(X,0)})).$$

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Theorem ((\Leftarrow) Greuel 1980– (\Rightarrow) Vosegaard 2002)

If (X, x) is an isolated complete intersection singularity of dimension $n \ge 1$,

 $\mu = \tau \Leftrightarrow (X, x)$ is quasihomogeneous.

Let $(X, 0) \subset (\mathbb{C}^N, 0)$ be an isolated complete intersection singularity of dimension $n \geq 1$ and codimension r = N - n. Is there exist an optimal $\frac{b}{a} \in \mathbb{Q}$ with b < a such that

$$\mu - au < rac{b}{a} \mu$$
 ?

Where optimal means that there exist a family of singularities such that μ/τ tends to $\frac{a}{a-b}$ when the multiplicity at the origin tends to infinity.

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Thanks for the attention!!