

# Hilbert function of general fat points in $\mathbb{P}^1 \times \mathbb{P}^1$

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# Introduction

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# Polynomial interpolation: simple points

## Polynomial Interpolation Problem

Given a set of points  $\mathbb{X} = \{P_1, \dots, P_s\}$  in complex projective space  $\mathbb{P}^n$ ,  
how many hypersurfaces of degree  $d$  pass through  $\mathbb{X}$ ?

*e.g., in the projective plane:*

*through 2 distinct points there is a unique line*

*through 5 general points there is a unique conic*

# Polynomial interpolation: simple points

Let  $S = \mathbb{C}[x_0, \dots, x_n] = \bigoplus_{d \geq 0} S_d$ , standard graded polynomial ring.

$S_d := \mathbb{C}$ -vector space of homogeneous polynomials of degree  $d$

## Hilbert function

Let  $I = \bigoplus_{d \geq 0} I_d$  be a homogeneous ideal. The **Hilbert function** of  $S/I$  in degree  $d$  is

$$\text{HF}_{S/I}(d) := \dim_{\mathbb{C}} S_d/I_d = \dim_{\mathbb{C}} S_d - \dim_{\mathbb{C}} I_d.$$

Let  $\mathbb{X} = \{P_1, \dots, P_s\} \subset \mathbb{P}^n$ , then  $I(\mathbb{X}) = \wp_1 \cap \dots \cap \wp_s = \bigoplus_{d \geq 0} I(\mathbb{X})_d \subset S$ .

$$P = (p_0 : p_1 : p_2) \in \mathbb{P}^2 \iff \wp = (p_1 x_0 - p_0 x_1, p_2 x_0 - p_0 x_2)$$

The **Hilbert function** of  $\mathbb{X}$  is the Hilbert function of  $S/I(\mathbb{X})$ .

# Polynomial interpolation: simple points

## Polynomial Interpolation Problem

Given a set of points  $\mathbb{X} = \{P_1, \dots, P_s\}$  in complex projective space  $\mathbb{P}^n$ ,  
what is the Hilbert function of  $\mathbb{X}$  in degree  $d$ ?

Obviously, the answer depends on the position of the points.

**[Geramita-Orecchia, 1981]** If the points are in **general position**,

$$\mathrm{HF}_{\mathbb{X}}(d) = \min \left\{ \binom{n+d}{d}, s \right\}.$$

*Proof.* If  $\{m_1, \dots, m_N\}$  is the standard monomial basis for  $S_d$ , then  $\mathrm{HF}_{\mathbb{X}}(d) = \mathrm{rk} (m_i(P_j))_{ij}$ .

# Polynomial interpolation: fat points

## Fat points

A **fat point** of **multiplicity**  $m$  and **support** at  $P$  is the 0-dim scheme given by  $\wp^m$ .

We denote it by  $mP$ .

A **scheme of fat points** is a union of fat points, i.e.,  $\mathbb{X} = m_1P_1 + \dots + m_sP_s$  defined by  $I(\mathbb{X}) = \wp_1^{m_1} \cap \dots \cap \wp_s^{m_s}$ .

*If  $m_1 = \dots = m_s = m$ , then*

*$I(\mathbb{X})$  is the  $m$ -th symbolic power of  $\wp_1 \cap \dots \cap \wp_s$ .*

**Remark.**  $f \in \wp^m$  if and only if  $D(f)|_P = 0$ , for any  $D \in \mathbb{C}[\partial_0, \dots, \partial_n]_{\leq m-1}$ .

# Polynomial interpolation: fat points

## Polynomial Interpolation Problem

Let  $\mathbb{X} = m_1 P_1 + \dots + m_s P_s$  be a scheme of fat points in  $\mathbb{P}^n$ ,  
what is the Hilbert function of  $\mathbb{X}$  in degree  $d$ ?

*this is equivalent to asking*

# Polynomial interpolation: fat points

## Polynomial Interpolation Problem

Let  $\mathbb{X} = m_1 P_1 + \dots + m_s P_s$  be a scheme of fat points in  $\mathbb{P}^n$ ,  
what is the Hilbert function of  $\mathbb{X}$  in degree  $d$ ?

*this is equivalent to asking*

Given a set of points  $\{P_1, \dots, P_s\}$  and positive integers  $m_1, \dots, m_s$ ,  
how many hypersurfaces of degree  $d$  are singular at  $P_i$  of order  $m_i$ , for  $i = 1, \dots, s$ ?



# Polynomial interpolation: fat points

**Remark.**  $f \in \mathcal{O}^m$  if and only if  $D(f)|_P = 0$ , for any  $D \in \mathbb{C}[\partial_0, \dots, \partial_n]_{\leq m-1}$ .

Therefore, a fat point of multiplicity  $m$  in  $\mathbb{P}^n$  imposes  $\binom{n+m-1}{n}$  linear equations.

If we assume the points to have general support, the **expected Hilbert function** is

$$\text{exp.HF}_{\mathbb{X}}(d) = \min \left\{ \binom{n+d}{n}, \sum_{i=1}^s \binom{n+m_i-1}{n} \right\}.$$

# Polynomial interpolation: fat points

**Example 1.** Let  $\mathbb{X} = 2P_1 + \dots + 2P_5 \subset \mathbb{P}^2$ , with general support. We expect to have no quartics through  $\mathbb{X}$ .

$$\text{exp. dim } I(\mathbb{X})_4 = \binom{4+2}{2} - 5 \cdot 3 = 0.$$

However, there is a unique conic  $C$  passing through the point  $P_1, \dots, P_5$ .

Hence,  $2C \in I(\mathbb{X})_4$ . By Bézout's Theorem,

$$\dim I(\mathbb{X})_4 = 1 > 0 = \text{exp. dim } I(\mathbb{X})_4.$$

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**Example 2.** Let  $\mathbb{X} = 2P_1 + \dots + 2P_7 \subset \mathbb{P}^4$ , with general support. We expect to have no cubics through  $\mathbb{X}$ .

$$\text{exp. dim } I(\mathbb{X})_3 = \binom{3+4}{3} - 7 \cdot 5 = 0.$$

However, there is a unique rational normal curve  $C$  passing through the  $P_i$ 's.

The 2-nd secant variety is a cubic surface singular along  $C$ , i.e.,  $\sigma_2(C) \in I(\mathbb{X})_3$ , and

$$\dim I(\mathbb{X})_3 = 1 > 0 = \text{exp. dim } I(\mathbb{X})_3.$$

# Polynomial interpolation: fat points

**[Alexander-Hirschowitz, 1994]** Let  $\mathbb{X} = 2P_1 + \dots + 2P_s \subset \mathbb{P}^n$  with general support. Then, the Hilbert function of  $\mathbb{X}$  in degree  $d$  is as expected, i.e.,

$$\mathrm{HF}_{\mathbb{X}}(d) = \min \left\{ \binom{n+d}{n}, 3s \right\},$$

except for:

1. quadrics:  $d = 2$ ,  $2 \leq s \leq n$
2. in  $\mathbb{P}^2$ :  $d = 4$ ,  $s = 5$  **[Example 1]**
3. in  $\mathbb{P}^3$ :  $d = 4$ ,  $s = 9$ ;
4. in  $\mathbb{P}^4$ :  $d = 3$ ,  $s = 7$  **[Example 2]**  
and  $d = 4$ ,  $s = 14$ .

*...for higher multiplicity very little is known*

# Polynomial interpolation: fat points

BENIAMINO SEGRE

## ALCUNE QUESTIONI SU INSIEMI FINITI DI PUNTI IN GEOMETRIA ALGEBRICA

È con viva commozione che mi accingo a parlare nella mia città natia, in questa gloriosa Università che mi accolse sedicenne e dove trascorsi il periodo più formativo e determinante dei miei studi. Sono lieto di rivedere qui presenti, e vegeti quasi che da allora non fossero trascorsi ben otto lustri, i miei professori di quegli anni BOGGIO e TOGLIATTI, ed il professore TERRACINI del quale fui poi per qualche tempo assistente.

Il mio pensiero si volge con gratitudine ad essi ed agli altri miei Maestri: CORRADO SEGRE con cui mi addottorai, SOMIGLIANA del quale pure fui assistente, ed inoltre PEANO e FANO, tutti purtroppo scomparsi, ma la cui voce ed i cui insegnamenti ancora mi riecheggiano nel cuore.

— 72 —

Osserviamo anzitutto che, essendo  $\delta \geq -1$ , risulta sempre  $\sigma > 0$  quando si supponga  $d < -1$ . Altri esempi di sistemi lineari  $\Sigma$  sovrabbondanti, aventi cioè appunto  $\sigma > 0$ , vengono offerti da

$$\{10 \mid 4^2, 5^3\}$$

e da

$$\{n \mid k_1, k_2\} \quad \text{con } k_1, k_2 \leq n, k_1 + k_2 \geq n + 2,$$

per i quali rispettivamente si ha  $d = 0, \sigma = 2$  e

$$\sigma = (k_1 + k_2 - n)(k_1 + k_2 - n - 1)/2;$$

e va rilevato al riguardo come ciascuno di questi sistemi risulti dotato di componente fissa multipla (rispettivamente: la conica per i cinque punti base contata 4 volte e la retta per i due punti base contata  $k_1 + k_2 - n$  volte). Questi ed altri esempi consimili portano a fare ritenere probabile che

*Affinchè un sistema lineare completo  $\Sigma$  di curve piane, dotato di un numero finito di punti base assegnati in posizione generica ed avente dimensione virtuale  $d \geq -1$ , sia sovrabbondante (e quindi effettivo, cioè di dimensione  $\delta \geq 0$ ) è necessario (ma, come risulta da esempi, non sufficiente) ch'esso possieda qualche componente fissa multipla.*

# Polynomial interpolation: fat points

## B. Segre 1961

*Alcune questioni su insiemi finiti di punti in geometria algebrica* (page 72)

*"(...) in order that a complete linear system  $\Sigma$  of plane curves, passing through multiple base points in general position with virtual dimension  $d \geq -1$  is superabundant (and then effective, i.e., of dimension  $\delta \geq 0$ ), it is necessary (but, from examples, not sufficient) that it has some multiple fixed component."*

# SHGH Conjecture

A degree  $d$  curve  $C$  is *negative* for  $P_1, \dots, P_d \in \mathbb{P}^2$  if  $(\text{mult}_{P_1}(C))^2 + \dots + (\text{mult}_{P_d}(C))^2 > d^2$ .

**[SHGH Conjecture - B. Segre, '61; Harbourne '85; Gimigliano '87; Hirschowitz '89]**

If with general support and  $f = f_1^{b_1} \dots f_t^{b_t}$  is the greatest common divisor of  $I(\mathbb{X})_j$ . Let  $\mathcal{N}$  be the set of negative curves for  $P_1, \dots, P_d$ . Then,

$$\text{HF}_{\mathbb{X}}(j) = \min \left\{ \binom{j+2}{2}, \sum_{i=1}^s \binom{m_i+1}{2} - \sum_{i: f_i \in \mathcal{N}} \binom{b_i}{2} \right\}.$$

[Castelnuovo, 1891]  $d \leq 9$ ; [Yang, 2007]  $m_i \leq 7$ ;

[Ciliberto-Miranda, 1998]  $m_i = m \leq 12$ ; ...and some other special cases

# Multigraded Interpolation

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# Multigraded Interpolation Problem

We consider the case of  $\mathbb{P}^1 \times \mathbb{P}^1$  for simplicity of notation.

Let  $S = \mathbb{C}[x_0, x_1; y_0, y_1] = \bigoplus_{(a,b) \in \mathbb{N}^2} S_{a,b}$  be a bi-graded polynomial ring

$S_{a,b} := \mathbb{C}$ -vector space of bi-homogeneous polynomials of bi-degree  $(a, b)$

## Fat points in multiprojective space

A point  $P = (Q_1, Q_2) = ((q_{1,0} : q_{1,1}); (q_{2,0} : q_{2,1})) \in \mathbb{P}^1 \times \mathbb{P}^1$  is defined by

$$\wp = I(Q_1) + I(Q_2) = (q_{1,1}x_0 - q_{1,0}x_1, q_{2,1}y_0 - q_{2,0}y_1) \subset S.$$

The **fat point**  $mP$  is the 0-dimensional scheme defined by  $\wp^m$ .

The **scheme of fat points**  $\mathbb{X} = m_1P_1 + \dots + m_sP_s$  is defined by  $I(\mathbb{X}) = \wp_1^{m_1} \cap \dots \cap \wp_s^{m_s}$ .

# Multigraded Interpolation Problem

## Multigraded Hilbert function

Let  $I = \bigoplus_{(a,b) \in \mathbb{N}^2} I_{a,b}$  be a bi-homogeneous ideal.

The **Hilbert function** of  $S/I$  in bi-degree  $(a, b)$  is

$$\mathrm{HF}_{S/I}(a, b) = \dim_{\mathbb{C}} S_{a,b}/I_{a,b} = \dim_{\mathbb{C}} S_{a,b} - \dim_{\mathbb{C}} I_{a,b}.$$

The Hilbert function of a scheme of fat points  $\mathbb{X}$  is the Hilbert function of  $S/I(\mathbb{X})$ .

## Multigraded Interpolation Problem

Let  $\mathbb{X}$  be a scheme of fat points in  $\mathbb{P}^1 \times \mathbb{P}^1$ ,

what is the Hilbert function of  $\mathbb{X}$  in bi-degree  $(a, b)$ ?

# Multigraded Interpolation Problem

If we assume the points to have general support, the **expected Hilbert function** is

$$\exp.\text{HF}_{\mathbb{X}}(a, b) = \min \left\{ (a + 1)(b + 1), \sum_{i=1}^s \binom{m_i + 1}{2} \right\}.$$

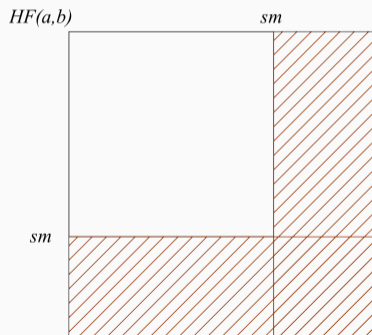
**[Catalisano-Geramita-Gimigliano, 2005]** Let  $\mathbb{X} = 2P_1 + \dots + 2P_s \subset \mathbb{P}^1 \times \mathbb{P}^1$ , with general support. Then, the Hilbert function of  $\mathbb{X}$  in bi-degree  $(a, b)$ , with  $a \geq b$ , is as expected, except for  $(a, b) = (2k, 2)$ ,  $s = 2k + 1$ ,  $k \geq 1$ , where the defect is 1.

*They complete the case of double points for any  $\underbrace{\mathbb{P}^1 \times \dots \times \mathbb{P}^1}_{t \text{ times}}$ . In particular, it is as expected for  $t \geq 5$ .*

# Multigraded Interpolation Problem

## [Guardo-Van Tuyl, 2005]

Let  $\mathbb{X} = mP_1 + \dots + mP_s \subset \mathbb{P}^1 \times \mathbb{P}^1$ , with general support. The Hilbert function of  $\mathbb{X}$  is constant in the  $a$ -th row (resp., in the  $b$ -th column) for  $b \geq sm$  (resp.,  $a \geq sm$ ).



# Multigraded Interpolation Problem

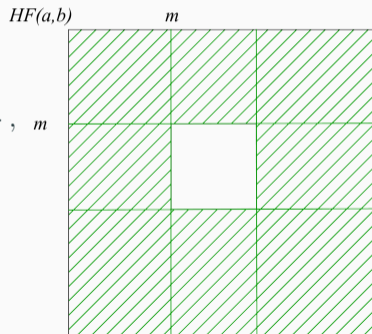
## [Carlini-Catalisano-O., 2017]

Let  $\mathbb{X} = mP_1 + \dots + mP_s \subset \mathbb{P}^1 \times \mathbb{P}^1$ , with general support. Let  $a \geq b$  and  $m \geq b$ . Then,

$$\text{HF}_{\mathbb{X}}(a, b) = \min \left\{ (a+1)(b+1), s \binom{m+1}{2} - s \binom{m-b}{2} \right\}, \quad m$$

except for  $s = 2k + 1$  and  $a = bk + c + s(m - b)$ , with  $c = 0, \dots, b - 2$ , where

$$\text{HF}_{\mathbb{X}}(a, b) = (a+1)(b+1) - \binom{c+2}{2}.$$



# Multigraded Interpolation Problem

[Carlini-Catalisano-O., 2017]

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Example.  $\mathbb{X} = 5P_1 + \dots + 5P_5$ .

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	25
2	4	6	8	10	12	14	16	18	20	22	24	26	28	30	32	34	36	38	40	42	44	45	45	45	45
3	6	9	12	15	18	21	24	27	30	33	36	39	42	45	48	51	54	57	59	60	60	60	60	60	60
4	8	12	16	20	24	28	32	36	40	44	48	52	56	60	64	67	69	70	70	70	70	70	70	70	70
5	10	15	20	25	30	35	40	45	50	55	60	65	69	72	74	75	75	75	75	75	75	75	75	75	75
6	12	18	24	30	36	42	48	54	60	65	69	72	74	75	75	75	75	75	75	75	75	75	75	75	75
7	14	21	28	35	42	49	56	63	69	72	74	75	75	75	75	75	75	75	75	75	75	75	75	75	75
8	16	24	32	40	48	56	64	71	74	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75
9	18	27	36	45	54	63	71	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75
10	20	30	40	50	60	69	74	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75
11	22	33	44	55	65	72	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75
12	24	36	48	60	69	74	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75
13	26	39	52	65	72	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75
14	28	42	56	69	74	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75
15	30	45	60	72	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75
16	32	48	64	74	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75
17	34	51	67	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75
18	36	54	69	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75
19	38	57	70	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75
20	40	59	70	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75
21	42	60	70	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75
22	44	60	70	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75
23	45	60	70	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75
24	45	60	70	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75
25	45	60	70	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75
25	45	60	70	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75

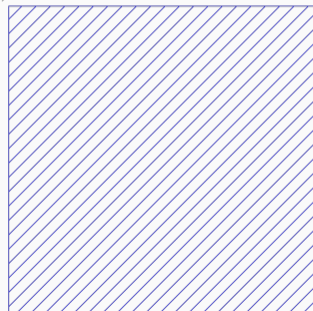
# Multigraded Interpolation Problem

## [Carlini-Catalisano-O., 2017]

Let  $\mathbb{X} = 3P_1 + \dots + 3P_s \subset \mathbb{P}^1 \times \mathbb{P}^1$ , with general support.

Then,

$HF(a,b)$



$$HF_{\mathbb{X}}(a,b) = \min \left\{ (a+1)(b+1), 6s - s \binom{3-b}{2} \right\},$$

except for  $s$  odd, say  $s = 2k + 1$ , and:

- i.  $(a,b) = (4k+1, 2)$ , where  $HF_{\mathbb{X}}(a,b) = (a+1)(b+1) - 1$ ;
- ii.  $(a,b) = (3k, 3)$ , where  $HF_{\mathbb{X}}(a,b) = (a+1)(b+1) - 1$ ;
- iii.  $(a,b) = (3k+1, 3)$ , where  $HF_{\mathbb{X}}(a,b) = 6s - 1$ .

# Multiprojective-Affine-Projective Method

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# Multiprojective-Affine-Projective Method

Consider the birational map

$$\begin{aligned} \varphi : \quad \mathbb{P}^1 \times \mathbb{P}^1 & \dashrightarrow \mathbb{A}^2 & \rightarrow \mathbb{P}^2 \\ ((s_0 : s_1); (t_0 : t_1)) & \mapsto \left( \frac{s_1}{s_0}, \frac{t_1}{t_0} \right) & \mapsto \left( 1 : \frac{s_1}{s_0} : \frac{t_1}{t_0} \right) = (s_0 t_0 : s_1 t_0 : s_1 t_1). \end{aligned}$$

**[Catalisano-Geramita-Gimigliano, 2002]**

Let  $\mathbb{X}$  be a set of fat points with general support in  $\mathbb{P}^1 \times \mathbb{P}^1$ . Then,

$$\mathrm{HF}_{I(\mathbb{X})}(a, b) = \mathrm{HF}_{I(X)}(a + b),$$

where  $X = \varphi(\mathbb{X}) + aQ_1 + bQ_2$ , with  $Q_1 = (0 : 1 : 0)$  and  $Q_2 = (0 : 0 : 1)$ .

# Multiprojective-Affine-Projective Method

**Example.** We can define it for higher multi-projective spaces.

$$\begin{aligned} \varphi : \quad & \mathbb{P}^{n_1} \times \mathbb{P}^{n_2} \times \mathbb{P}^{n_3} & \dashrightarrow & \quad \mathbb{P}^{n_1+n_2+n_3} \\ & ((1 : \dots : s_{n_1}); (1 : \dots : t_{n_2}); (1 : \dots : u_{n_3})) & \mapsto & \quad (1 : \dots : s_{n_1} : t_1 : \dots : t_{n_2} : u_1 : \dots : u_{n_3}) \end{aligned}$$

## [Catalisano-Geramita-Gimigliano, 2002]

Let  $\mathbb{X}$  be a set of fat points with general support in  $\mathbb{P}^{n_1} \times \mathbb{P}^{n_2} \times \mathbb{P}^{n_3}$ . Then,

$$\mathrm{HF}_{I(\mathbb{X})}(a_1, a_2, a_3) = \mathrm{HF}_{I(X)}(a_1 + a_2 + a_3),$$

where  $X = \varphi(\mathbb{X}) + (a_2 + a_3)\Pi_1 + (a_1 + a_3)\Pi_2 + (a_1 + a_2)\Pi_3$ , with

$$\Pi_1 = \{(0 : s_1 : \dots : s_{n_1} : 0 : \dots : 0)\} \simeq \mathbb{P}^{n_1-1} \quad \Pi_3 = \{(0 : \dots : 0 : u_1 : \dots : u_{n_3})\} \simeq \mathbb{P}^{n_3-1}$$

$$\Pi_2 = \{(0 : \dots : 0 : t_1 : \dots : t_{n_2} : 0 : \dots : 0)\} \simeq \mathbb{P}^{n_2-1}$$

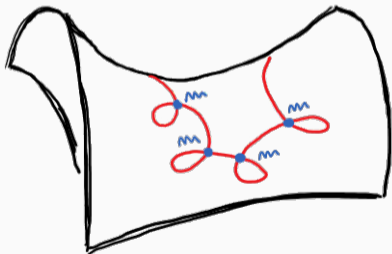
# Multiprojective-Affine-Projective Method

Let  $\mathbb{X} = mP_1 + \dots + mP_s \subset \mathbb{P}^1 \times \mathbb{P}^1$ ,  
with general support.

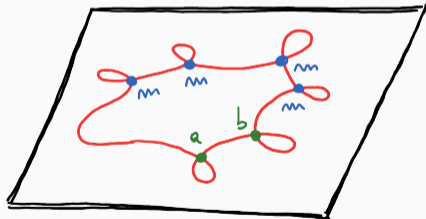


Let  $X = aQ_1 + bQ_2 + mP_1 + \dots + mP_s \subset \mathbb{P}^2$ ,  
with general support.

What is  $\text{HF}_{I(\mathbb{X})}(a, b)$ ?



What is  $\text{HF}_{I(X)}(a + b)$ ?



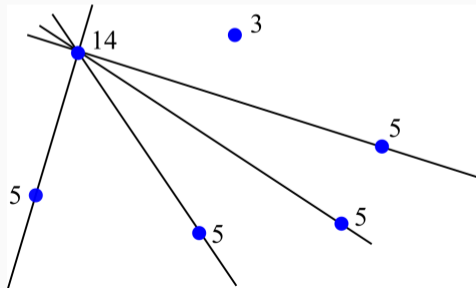
*...so, we are back in the standard graded (planar) case!*

## Example: reduction to $m = b$ case

**Example.** Let  $a = 14$ ,  $b = 3$ ,  $m = 5$  and  $s = 4$ .

$$\exp. \dim I(\mathbb{X})_{14,3} = \max \{0, (14 + 1)(3 + 1) - 4 \cdot 15\} = 0.$$

We consider  $X = 14Q_1 + 3Q_2 + 5P_1 + \dots + 5P_4 \subset \mathbb{P}^2$  and we compute  $\dim I(X)_{17}$ .



By Bézout's Theorem,

all the lines  $\overline{Q_1 P_i}$  are fixed components,  
twice.

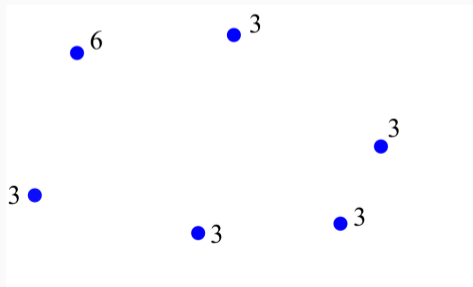
So, they can be removed.

## Example: reduction to $m = b$ case

**Example.** Let  $a = 14$ ,  $b = 3$ ,  $m = 5$  and  $s = 4$ .

$$\text{exp. dim } I(\mathbb{X})_{14,3} = \max\{0, (14 + 1)(3 + 1) - 4 \cdot 15\} = 0.$$

We consider  $X = 14Q_1 + 3Q_2 + 5P_1 + \dots + 5P_4 \subset \mathbb{P}^2$  and we compute  $\dim I(X)_{17}$ .



Let  $X' = 6Q_1 + 3Q_2 + 3P_1 + \dots + 3P_4 \subset \mathbb{P}^2$ .

Then,  $\dim I(X)_{17} = \dim I(X')_9$ . Now,

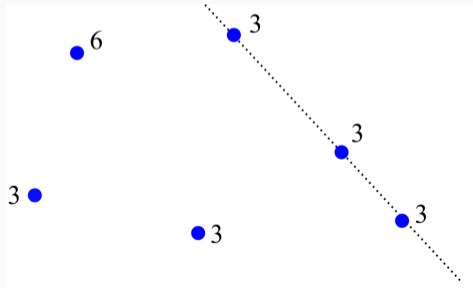
$$\text{exp. dim } I(X')_9 = \max\{0, (6+1)(3+1) - 4 \cdot 6\} = 4.$$

*Do you remember Beniamino Segre's remark?*

## Example: $m = b$ case

**Example.** Let  $X' = 6Q_1 + 3Q_2 + 3P_1 + \dots + 3P_4 \subset \mathbb{P}^2$ , with  $\exp. \dim I(X')_9 = 4$ .  
By semicontinuity, if  $\tilde{X}'$  is a specialization of  $X'$ , we have

$$4 = \exp. \dim I(X')_9 \leq \dim I(X')_9 \leq \dim I(\tilde{X}')_9.$$

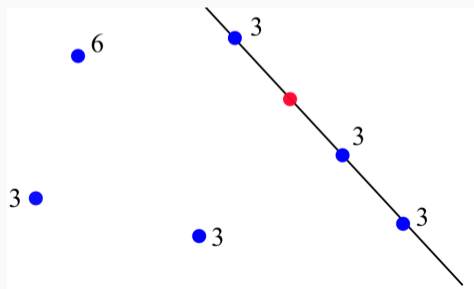


Assume that  $Q_2, P_3$  and  $P_4$  are collinear.

## Example: $m = b$ case

**Example.** Let  $\tilde{X}' = 6Q_1 + 3Q_2 + 3P_1 + \dots + 3P_4 \subset \mathbb{P}^2$ , with  $Q_2, P_3$  and  $P_4$  collinear. For any point  $A \in \mathbb{P}^2$ ,

$$4 = \exp. \dim I(X')_9 \leq \dim I(X')_9 \leq \dim I(\tilde{X}')_9 \leq \dim I(\tilde{X}' + A)_9 + 1.$$

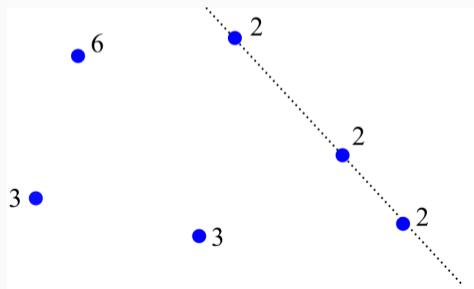


Assume that  $A$  is collinear with  $Q_2, P_3, P_4$ . By Bézout's Theorem, the line is a fixed component and can be removed.

## Example: $m = b$ case

**Example.** Let  $\tilde{X}' = 6Q_1 + 3Q_2 + 3P_1 + \dots + 3P_4 \subset \mathbb{P}^2$ , with  $Q_2, P_3$  and  $P_4$  collinear. For any point  $A \in \mathbb{P}^2$ ,

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Let  $W = 6Q_1 + 2Q_2 + 3P_1 + 3P_2 + 2P_3 + 2P_4$ .  
Then,

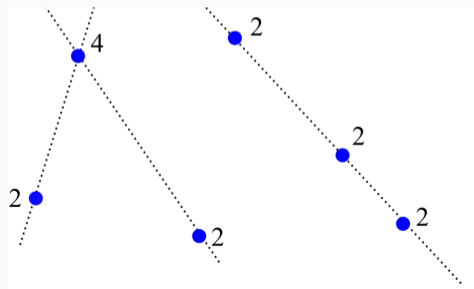
$$\dim I(\tilde{X}' + A)_9 = \dim I(W)_8.$$



## Example: $m = b$ case

**Example.** Let  $W = 6Q_1 + 3Q_2 + 3P_1 + 3P_2 + 2P_3 + 2P_4 \subset \mathbb{P}^2$ , with  $Q_2, P_3$  and  $P_4$  collinear. Then,

$$4 = \exp. \dim I(X')_9 \leq \dim I(X')_9 \leq \dots = \dim I(W)_8 + 1.$$



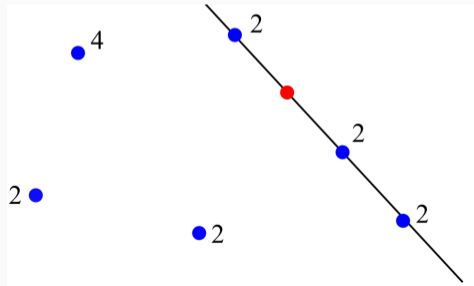
The lines  $\overline{Q_1P_1}$ ,  $\overline{Q_1P_2}$  are fixed components.  
Let  $W' = 4Q_1 + 2Q_2 + 2P_1 + 2P_2 + 2P_3 + 2P_4$ ,

$$\dim I(W)_8 = \dim I(W')_6.$$

## Example: $m = b$ case

**Example.** Let  $W' = 4Q_1 + 2Q_2 + 2P_1 + 2P_2 + 2P_3 + 2P_4 \subset \mathbb{P}^2$ , with  $Q_2, P_3$  and  $P_4$  collinear. For any point  $A' \in \mathbb{P}^2$ ,

$$4 = \exp. \dim I(X')_9 \leq \dim I(X')_9 \leq \dots \leq \dim I(W' + A')_6 + 2.$$

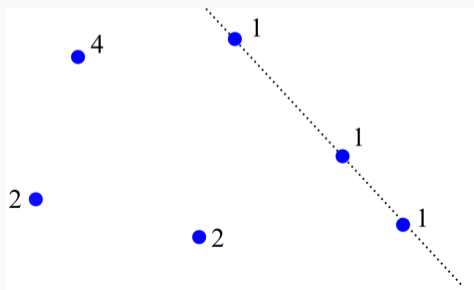


Assume that  $A'$  is collinear with  $Q_2, P_3, P_4$ .  
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## Example: $m = b$ case

**Example.** Let  $W' = 4Q_1 + 2Q_2 + 2P_1 + 2P_2 + 2P_3 + 2P_4 \subset \mathbb{P}^2$ , with  $Q_2, P_3$  and  $P_4$  collinear. For any point  $A' \in \mathbb{P}^2$ ,

$$4 = \exp. \dim I(X')_9 \leq \dim I(X')_9 \leq \dots \leq \dim I(W' + A')_6 + 2.$$



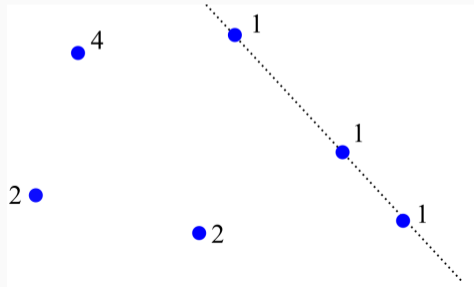
Let  $W'' = 6Q_1 + Q_2 + 2P_1 + 2P_2 + P_3 + P_4$ .  
Then,

$$\dim I(W' + A')_6 = \dim I(W'')_5.$$

## Example: $m = b$ case

**Example.** Let  $W'' = 4Q_1 + Q_2 + 2P_1 + 2P_2 + P_3 + P_4 \subset \mathbb{P}^2$ , with  $Q_2, P_3$  and  $P_4$  collinear. Then,

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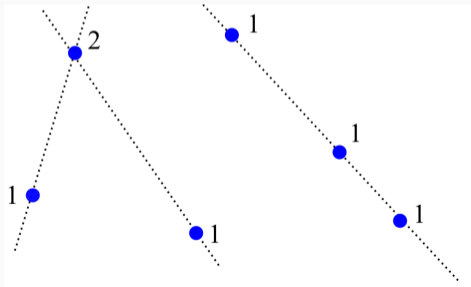


...we continue in a similar way...

## Example: $m = b$ case

**Example.** Let  $W''' = 2Q_1 + Q_2 + P_1 + P_2 + P_3 + P_4 \subset \mathbb{P}^2$ , with  $Q_2, P_3$  and  $P_4$  collinear. Then,

$$4 = \exp. \dim I(X')_9 \leq \dim I(X')_9 \leq \dots = \dim I(W''')_3 + 2.$$

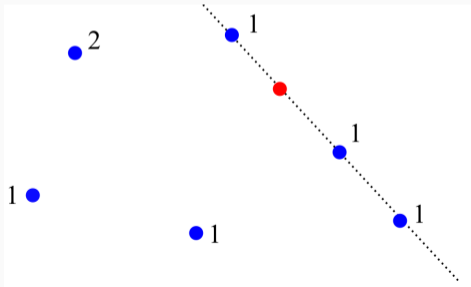


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## Example: $m = b$ case

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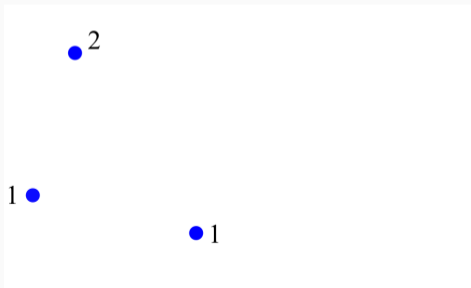
...we continue in a similar way...

## Example: $m = b$ case

**Example.** Let  $W'''' = 2Q_1 + P_1 + P_2 \subset \mathbb{P}^2$ .

Then,

$$4 = \exp. \dim I(X')_9 \leq \dim I(X')_9 \leq \dots = \dim I(W'''' )_2 + 3.$$

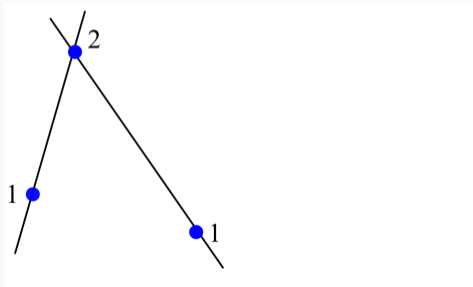


...we continue in a similar way...

## Example: $m = b$ case

**Example.** Let  $W'''' = 2Q_1 + P_1 + P_2 \subset \mathbb{P}^2$ . Then,

$$4 = \text{exp. dim } I(X')_9 \leq \dim I(X')_9 \leq \dots = \dim I(W'''' )_2 + 3 = 1 + 3 = 4$$





## Example: subabundance cases

- The Hilbert function of  $\mathbb{X} = 5P_1 + \dots + 5P_4$  is defective in bi-degree  $(14, 3)$ , i.e.,  
 $\dim I(\mathbb{X})_{14,3} = 4 > 0 = \exp. \dim I(\mathbb{X})_{14,3} = \max\{0, 15 \cdot 4 - 4 \cdot 15\}.$

*Defectiveness given by four double lines in the base locus*

- The Hilbert function of  $\mathbb{X} = 3P_1 + \dots + 3P_4$  is non-defective in bi-degree  $(6, 3)$ , i.e.,  
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Therefore,

The Hilbert function of  $\mathbb{X} = 3P_1 + \dots + 3P_s$  is non-defective in bi-degree  $(6, 3)$  if  $s \leq 4$ .

*Fixed  $(a, b)$ , let  $s_1 := \left\lfloor \frac{(a+1)(b+1)}{\binom{m+1}{2}} \right\rfloor$ . If  $I(\mathbb{X})_{a,b}$  has expected dimension for  $s_1$ , then it holds also for  $s \leq s_1$ .*

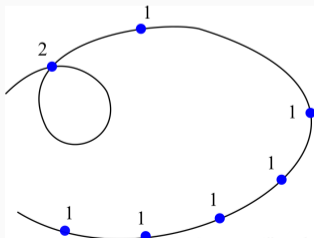
## Example: superabundance cases

**Example.** Let  $\mathbb{X} = 3P_1 + \dots + 3P_5 \subset \mathbb{P}^1 \times \mathbb{P}^1$ . Then,

$$\exp. \dim I(\mathbb{X})_{6,3} = \max\{0, (6+1)(3+1) - 5 \cdot 6\} = 0.$$

Fixed  $(a, b)$ , we consider  $s_2 = \left\lceil \frac{(a+1)(b+1)}{\binom{m+1}{2}} \right\rceil$ , if  $I(\mathbb{X})_{a,b}$  is empty for  $s_2$ , then it is empty for  $s \geq s_2$ .

Now, we have:  $\dim I(\mathbb{X})_{6,3} = \dim I(X)_9$ , for  $X = 6Q_1 + 3Q_2 + 3P_1 + \dots + 3P_5 \subset \mathbb{P}^2$ .



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If  $Y = 2Q_1 + Q_2 + P_1 + \dots + P_5 \subset \mathbb{P}^2$ , then

$$\dim I(Y)_3 = \binom{3+2}{2} - 3 - 6 = 1.$$

There is a (unique) plane cubic  $C \in I(Y)_3$ .

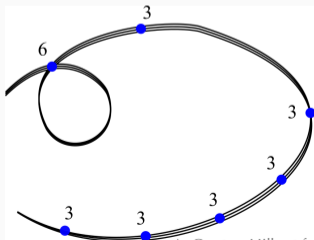
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Therefore,  $3C \in I(X)_9$ !

By Bézout's Theorem,  $\dim I(X)_9 = 1$ .

**Defective case!**

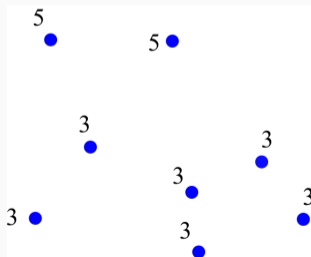
*It follows also that  $I(X)_9$  is empty for  $s > 5$ .*

## Example: case $b > m = 3$ .

**Example.** Consider  $X = 5Q_1 + 5Q_2 + 3P_1 + \dots + 3P_6 \subset \mathbb{P}^2$ . Then,

$$\exp. \dim I(X)_{10} = \max\{0, (5 + 1)(5 + 1) - 6 \cdot 6\} = 0.$$

We can try similarly as before.

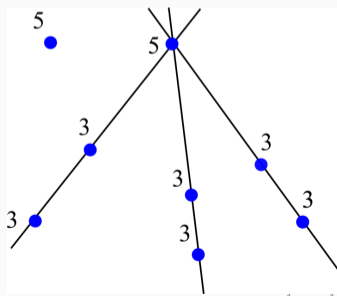


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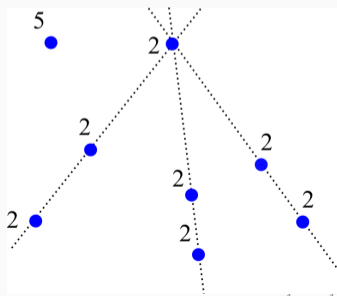
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We can try similarly as before. ???



A. Oneto - Hilbert functions of general fat points in  $\mathbb{P}^1 \times \mathbb{P}^1$

## Méthode d'Horace différentiel

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# Méthode d'Horace

## [Castelnuovo's Inequality]

Let  $X$  be a 0-dimensional scheme in  $\mathbb{P}^n$ . Given a hypersurface  $H = \{\ell = 0\}$ , we have the short exact sequence given by the restriction map

$$0 \rightarrow [I(X; \mathbb{P}^n) : (\ell)]_{d-1} \longrightarrow I(X; \mathbb{P}^n)_d \longrightarrow I(X \cap H; H)_d.$$

**Residue**  $\text{Res}_H(X)$ : the scheme defined by  $I(X) : (\ell)$ , i.e.,  $I(X \setminus (X \cap H))$

**Trace**  $\text{Tr}_H(X)$ : the scheme theoretical intersection  $X \cap H \subset H$ .

Hence,

$$\exp. \dim I(X)_d \leq \dim I(X)_d \leq \dim I(\text{Res}_H(X))_{d-1} + \dim I(\text{Tr}_H(X))_d.$$

# Vertically Graded schemes

**Example.** Consider the triple point defined by  $I = (x_1, x_2)^3$ . In  $\mathcal{O}_{\mathbb{P}^2, P} \simeq \mathbb{C}[[x_1, x_2]]$ ,

$$I = I_0 \oplus I_1 \cdot x_2 \oplus I_2 \cdot x_2^2 \oplus (x_2^3),$$

with

$$I_0 = (x_1^3); \quad I_1 = (x_1^3, x_1^2); \quad I_2 = (x_1, x_1^2, x_1^3).$$

Hence,  $\mathbb{C}[[x_1, x_2]]/I$  is the 6-dimensional vector space

$$\langle 1, x_1, x_1^2 \rangle \oplus \langle x_2, x_1 x_2 \rangle \oplus \langle x_2^2 \rangle.$$



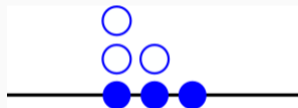
# Vertically Graded schemes

**Example.** Consider the triple point defined by  $I = (x_1, x_2)^3$ . In  $\mathcal{O}_{\mathbb{P}^2, P} \simeq \mathbb{C}[[x_1, x_2]]$ ,

In the standard specialization,

**Residue:**  $I(\text{Res}_{x_1}(3P)) = I : (x_2) = (x_1^2, x_1x_2, x_2^2) = (x_1, x_2)^2$   
 $\mathbb{C}[[x_1, x_2]]/I(\text{Res}_{x_1}(3P))$  is the 3-dim vector space  $\langle 1, x_1 \rangle \oplus \langle x_2 \rangle$ .

**Trace:**  $I(\text{Tr}_{x_2}(3P)) = I \otimes \mathbb{C}[[x_1, x_2]]/(x_2) = (x_1)^3$ .  
 $\mathbb{C}[[x_1]]/I(\text{Tr}_{x_2}(3P))$  is the 3-dim vector space  $\langle 1, x_1, x_1^2 \rangle$ .



# Méthode d'Horace

*Le Serment des Horaces - J.-L. David (1785)*



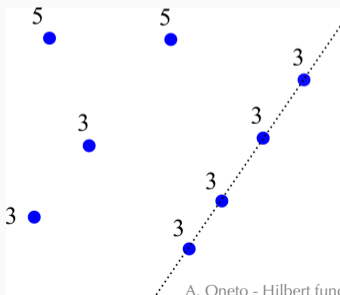
A. Oneto - Hilbert functions of general fat points in  $\mathbb{P}^1 \times \mathbb{P}^1$

# Méthode d'Horace

Sometimes the arithmetic do not allow to do computations so easily...

**Example.** Consider  $X = 5Q_1 + 5Q_2 + 3P_1 + \dots + 3P_6 \subset \mathbb{P}^2$  with

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A. Oneto - Hilbert functions of general fat points in  $\mathbb{P}^1 \times \mathbb{P}^1$

Too many conditions on the line.

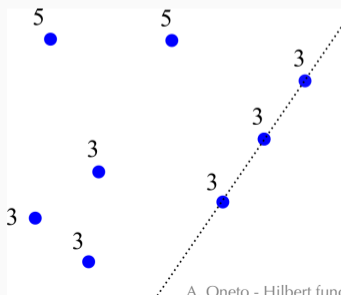
*We need 11 conditions on the line*

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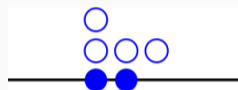
*We need 11 conditions on the line*

# Méthode d'Horace différentiel

**Example.** Consider the triple point defined by  $I = (x_1, x_2)^3$ . In  $\mathcal{O}_{\mathbb{P}^2, P} \simeq \mathbb{C}[[x_1, x_2]]$ , with differential specialization,

**2-nd Residue:** 
$$I(\text{Res}_{x_2}^1(3P)) = I + (I : (x_2)^2) \cdot (x_2) = (x_1^3, x_1 x_2, x_2^2),$$

$$\mathbb{C}[[x_1, x_2]]/I(\text{Res}_{x_2}^2(3P)) = \langle 1, x_1, x_1^2 \rangle \oplus \langle x_2 \rangle.$$

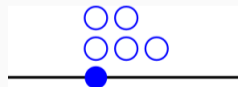


**2-nd Trace:** 
$$I(\text{Tr}_{x_2}^1(3P)) = (I : (x_2)) \otimes \mathbb{C}[[x_1, x_2]]/(x_2) = (x_1)^2,$$

$$\mathbb{C}[[x_1]]/I(\text{Tr}_{x_2}^1(3P)) = \langle 1, x_1 \rangle.$$

**3-rd Residue:** 
$$I(\text{Res}_{x_2}^2(3P)) = I + (I : (x_2)^3) \cdot (x_2^2) = (x_1^3, x_1^2 x_2, x_2^2),$$

$$\mathbb{C}[[x_1, x_2]]/I(\text{Res}_{x_2}^2(3P)) = \langle 1, x_1, x_1^2 \rangle \oplus \langle x_2, x_1 x_2 \rangle.$$



**3-rd Trace:** 
$$I(\text{Tr}_{x_2}^2(3P)) = (I : (x_2^2)) \otimes \mathbb{C}[[x_1, x_2]]/(x_2) = (x_1),$$

$$\mathbb{C}[[x_1]]/I(\text{Tr}_{x_2}^2(3P)) = \langle 1 \rangle.$$

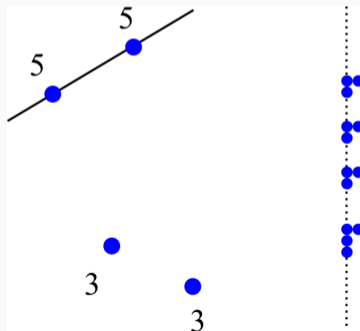




## Example: méthode d'Horace différentiel

**Example.** Let  $X = 5Q_1 + 5Q_2 + 3P_1 + \dots + 3P_6 \subset \mathbb{P}^2$ .

$$\text{exp. dim } I(X)_{10} = 0 = (5 + 1)(5 + 1) - 6 \cdot 6.$$



*Degree 9*

A. Oneto - Hilbert functions of general fat points in  $\mathbb{P}^1 \times \mathbb{P}^1$

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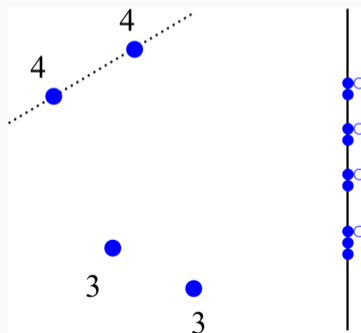
## Example: méthode d'Horace différentiel

**Example.** Let  $X = 5Q_1 + 5Q_2 + 3P_1 + \dots + 3P_6 \subset \mathbb{P}^2$ .

$$\text{exp. dim } I(X)_{10} = 0 = (5 + 1)(5 + 1) - 6 \cdot 6.$$

*Degree 8*

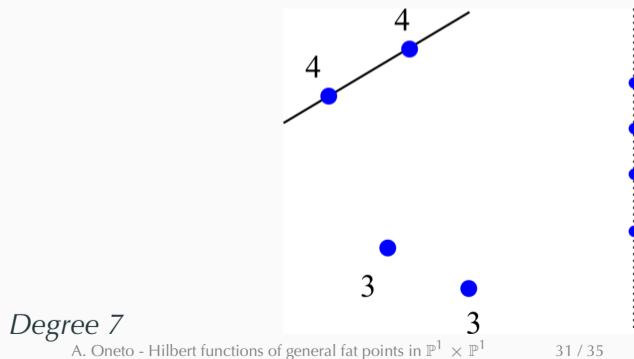
A. Oneto - Hilbert functions of general fat points in  $\mathbb{P}^1 \times \mathbb{P}^1$



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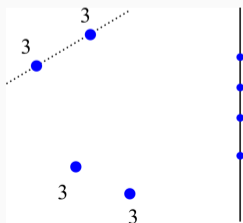


## Example: méthode d'Horace différentiel

**Example.** Let  $X = 5Q_1 + 5Q_2 + 3P_1 + \dots + 3P_6$  and

$W_1 = 3Q_1 + 3Q_2 + 3P_1 + 3P_2$  and  $W_2 = P_3 + \dots + P_6$ , with  $W_2$  collinear.

$$\begin{aligned} \dim I(X)_{10} &= \dim I(W_1 + W_2)_6 \stackrel{(1)}{=} \dim I(W_1)_6 - 4 = \\ &\stackrel{(2)}{=} (4 + 1)(4 + 1) - 2 \cdot 6 - 4 = 16 - 12 - 4 = 0. \end{aligned}$$

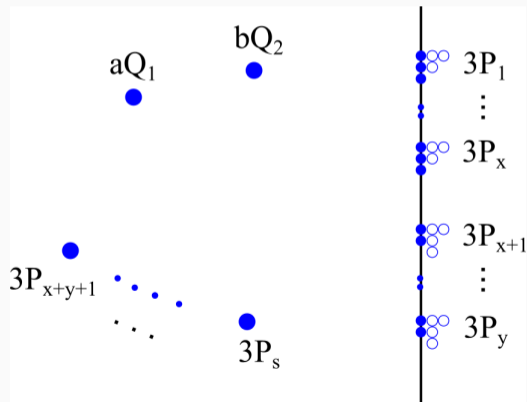


- (1) **[Catalisano-Geramita-Gimigliano]:** by a technical lemma, four collinear points give independent conditions
- (2) as regards  $W_1$ , we are back to the case  $m = b = 3$  and we know how to do it!



# Conclusion





This procedure works and let us compute the whole Hilbert function of triple points!



# ¡Gracias!



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