## Effective Sato-Tate conjecture for abelian varieties with applications

Alina Bucur (UCSD), Francesc Fité ${ }^{1}$ (MIT), Kiran S. Kedlaya (UCSD)

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## Notations

Throughout the talk:

- $k$ is a number field.
- $A / k$ is an abelian variety of dimension $g \geq 1$.
- $N$ denotes the absolute conductor of $A$.
- For a prime $\ell$,

$$
\varrho_{A, \ell}: G_{k} \rightarrow \operatorname{Aut}\left(V_{\ell}(A)\right)
$$

the $\ell$-adic representation attached to $A$, where

$$
T_{\ell}(A):=\lim _{\leftarrow} A\left[\ell^{n}\right](\overline{\mathbb{Q}}) \simeq \mathbb{Z}_{\ell}^{2 g}, \quad V_{\ell}(A):=T_{\ell}(A) \otimes_{\mathbb{Z}_{\ell}} \mathbb{Q}_{\ell}
$$

- For a prime $\mathfrak{p}$ of $k$ not dividing $N \ell$, let
- $a_{\mathfrak{p}}:=a_{\mathfrak{p}}(A):=\operatorname{Tr}\left(\varrho_{A, \ell}\left(\operatorname{Frob}_{\mathfrak{p}}\right)\right)$ denote the Frobenius trace at $\mathfrak{p}$.
- $\bar{a}_{\mathfrak{p}}:=\frac{a_{\mathfrak{p}}}{\operatorname{Nm}(\mathfrak{p})^{1 / 2}} \in[-2 g, 2 g]$ be the normalized Frobenius trace at $\mathfrak{p}$.


## Sato-Tate group and Sato-Tate measure

- From now on, we will assume the following conjecture.


## Conjecture (Banaszak-Kedlaya)

Denote by $G_{\ell}$ the Zariski closure of the image of $\varrho_{A, \ell}$ in $\mathrm{GSp}_{2 g} / \mathbb{Q}_{\ell}$. Then there exists an algebraic subgroup $G$ of $\mathrm{GSp}_{2 g} / \mathbb{Q}$ such that

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G_{\ell}=G \times_{\mathbb{Q}} \mathbb{Q}_{\ell} .
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- Known in many cases: it is implied by the Mumford-Tate conjecture.
- The Sato-Tate group of $A$, denoted $\mathrm{ST}(A)$, is a maximal compact subgroup of $\left(G \cap S p_{2 g}\right)(\mathbb{C})$. It is thus a subgroup of $\operatorname{USp}(2 g)$.
- Let $\mu$ denote the push forward of the Haar measure of ST(A) via

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We call $\mu$ the Sato-Tate measure of $A$.

## The Sato-Tate conjecture

Sato-Tate conjecture for abelian varieties
For any subinterval I of $[-2 g, 2 g]$, we have

$$
\lim _{x \rightarrow \infty} \frac{\#\left\{\mathfrak{p} \mid \operatorname{Nm}(\mathfrak{p}) \leq x \text { and } \bar{a}_{\mathfrak{p}} \in I\right\}}{\#\{\mathfrak{p} \mid \operatorname{Nm}(\mathfrak{p}) \leq x\}}=\mu(I)
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## Equivalently,



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Equivalently,

$$
\sum_{N m(\mathfrak{p}) \leq x} \delta_{I}\left(\bar{a}_{\mathfrak{p}}\right)=\mu(I) \operatorname{Li}(x)+o\left(\frac{x}{\log (x)}\right)
$$

where

$$
\operatorname{Li}(x)=\int_{2}^{x} \frac{d t}{\log (t)} \quad \text { and } \quad \delta_{l} \text { is the characteristic function of } I
$$

## The effective Sato-Tate conjecture

## Effective Sato-Tate conjecture for abelian varieties

There exists $\varepsilon>0$ depending exclusively on $\mathrm{ST}(A)$ (and therefore, in fact, only on $g$ ) such that, for every subinterval $/$ of $[-2 g, 2 g]$, we have

$$
\sum_{N \mathrm{~m}(\mathfrak{p}) \leq x} \delta_{l}\left(\bar{a}_{\mathfrak{p}}\right)=\mu(I) \operatorname{Li}(x)+O\left(x^{1-\varepsilon}\right) \quad \text { for } x \gg 10,
$$

where the implicit constant in the $O$-notation depends exclusively on the field $k$, the dimension $g$, and the absolute conductor $N$.

## The Sato-Tate conjecture and L-functions

- To every irreducible representation 「 of $\mathrm{ST}(A)$, one attaches à la Artin an Euler product:

$$
L(\Gamma(A), s):=\prod_{\mathfrak{p}} L_{\mathfrak{p}}\left(\Gamma(A), N m(\mathfrak{p})^{-s}\right)^{-1}
$$

which is absolutely convergent for $\Re(s)>1$.

> Theorem (Serre '68)
> Suppose that $L(\Gamma(A)$, s) extends to a holomorphic function on an open neighborhood of $\Re(s) \geq 1$ and that does not vanish at $\Re(s)=1$ for every irreducible nontrivial representation 「 of $\mathrm{ST}(A)$.
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The effective Sato-Tate conjecture and $L$-functions

- $L(\Gamma(A), s)$ gives rise to a completed $L$-function

$$
\Lambda(\Gamma(A), s):=B^{s / 2} \cdot L(\Gamma(A), s) \cdot L_{\infty}(\Gamma(A), s) .
$$

- $\Lambda(\Gamma(A), s)$ extends to a meromorphic function over $\mathbb{C}$. It has simple poles at $s=0,1$ if $\Gamma$ is trivial and it is analytic otherwise.
- $\wedge(\Gamma(A), s)=\varepsilon \cdot \wedge\left(\Gamma^{\vee}(A), 1-s\right)$ for some $\varepsilon \in \mathbb{C}$ with $|\varepsilon|=1$
- All zeroes of $\Lambda(\Gamma(A), s)$ lie on the line $\Re(s)=1 / 2$.

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Suppose that $A / \mathbb{Q}$ is an elliptic curve without CM and that GRH holds for $\Lambda\left(\operatorname{Sym}^{\prime}(A), s\right)$ for every $I \geq 0$. For every subinterval $I \subseteq[-2,2]$, we have

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Theorem (Murty '83)
Suppose that $A / \mathbb{Q}$ is an elliptic curve without CM and that GRH holds for $\Lambda\left(\operatorname{Sym}^{\prime}(A), s\right)$ for every $I \geq 0$. For every subinterval $I \subseteq[-2,2]$, we have

$$
\sum_{N m(\mathfrak{p}) \leq x} \delta_{l}\left(\bar{a}_{p}\right)=\mu(I) \operatorname{Li}(x)+O\left(x^{3 / 4}(\log (N x))^{1 / 2}\right) \quad \text { for } x \gg 10 .
$$

## Main result

Theorem (Bucur-F.-Kedlaya)
Suppose that $\operatorname{ST}(A)$ is connected and that $\operatorname{GRH}$ for $\Lambda(\Gamma(A)$, s) holds for every irreducible representation $\Gamma$ of $\mathrm{ST}(A)$.

where $q$ denotes the rank of the Lie algebra of $\mathrm{ST}(A)$ and $\varphi$ denotes the number of positive roots of its semisimple part. Then, for every subinterval I of $[-2 g, 2 g]$, we have


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Suppose that $\operatorname{ST}(A)$ is connected and that $G R H$ for $\Lambda(\Gamma(A), s)$ holds for every irreducible representation $\Gamma$ of $\mathrm{ST}(A)$. Set

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where the implicit constant in the $O$-notation depends exclusively on $k$ and $g$.

## Predictions for dimensions $g=1$ and $g=2$

- This extends Murty's result and previous work by Bucur and Kedlaya, who considered the case $A=E \times E^{\prime}$, where $E$ and $E^{\prime}$ are nonisogenous elliptic curves without CM.



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| $g$ | Splitting of $A$ | $\mathrm{ST}(A)$ | $\varepsilon$ | Error term |
| :---: | :---: | :---: | :---: | :---: |
| 1 | $E$ | $\mathrm{SU}(2)$ | $1 / 4$ | $O\left(x^{3 / 4} \log (N x)^{1 / 2}\right)$ |
| 1 | $E_{C M}$ | $\mathrm{U}(1)$ | $1 / 2$ | $O\left(x^{1 / 2} \log (N x) \log (x)\right)$ |
| 2 | $S$ | $\mathrm{USp}(4)$ | $1 / 12$ | $O\left(x^{11 / 12} \log (N x)^{1 / 6} \log (x)^{-2 / 3}\right)$ |
| 2 | $S_{R M}$ <br> $E \times E^{\prime}$ | $\mathrm{SU}(2) \times \mathrm{SU}(2)$ | $1 / 8$ | $O\left(x^{7 / 8} \log (N x)^{1 / 4} \log (x)^{-1 / 2}\right)$ |
| 2 | $E \times E_{C M}^{\prime}$ | $\mathrm{SU}(2) \times \mathrm{U}(1)$ | $1 / 6$ | $O\left(x^{5 / 6} \log (N x)^{1 / 3} \log (x)^{-1 / 3}\right)$ |
| 2 | $E_{C M} \times E_{C M}^{\prime}$ <br> $S_{C M}$ | $\mathrm{U}(1) \times \mathrm{U}(1)$ | $1 / 4$ | $O\left(x^{3 / 4} \log (N x)^{1 / 2}\right)$ |
| 2 | $E^{2}$ <br> $S_{Q M}$ | $\mathrm{SU}(2)$ | $1 / 4$ | $O\left(x^{3 / 4} \log (N x)^{1 / 2}\right)$ |
| 2 | $E_{C M}^{2}$ | $\mathrm{U}(1)$ | $1 / 2$ | $O\left(x^{1 / 2} \log (N x) \log (x)\right)$ |

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- Numerical data suggests that $\varepsilon=1 / 2$ in all cases.

Ingredients in the proof (I): the Vinogradov function

We construct a function:

with the properties:

- $F_{I}$ is a continuous approximation of the characteristic function of $\pi^{-1} \sigma_{T}^{-1}(I)$.
- $F_{I}(\theta)=\sum_{m \in \mathbb{1}, 9} c_{m} e^{2 r i \theta \cdot m}$ has

Fourier coefficients of rapid decay.


## Ingredients of the proof (II): Murty's estimate

- By construction

$$
\sum_{N m(\mathfrak{p}) \leq x} \delta_{l}\left(\bar{a}_{\mathfrak{p}}\right) \approx \sum_{N m(\mathfrak{p}) \leq x} F_{l}\left(\text { Frob }_{\mathfrak{p}}\right) .
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- Write $F_{I}=\sum_{\chi} c_{\chi} \chi$. The $c_{\chi}$ are still of rapid decay and $c_{1} \approx \mu(I)$.


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$\sum$$\delta_{l}\left(\bar{a}_{p}\right) \approx \mu(I) L i(x)+\sum c_{x}$ $\operatorname{Nm}(\mathfrak{p}) \leq x$

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- For $\chi \neq 1$ Murty's estimate gives

$$
\sum_{\operatorname{Vm}(\mathfrak{p}) \leq x} \chi\left(\operatorname{Frob}_{\mathfrak{p}}\right)=O\left(d_{\chi} x^{1 / 2} \log \left(N\left(x+w_{\chi}\right)\right)\right)
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## Interval variant of Linnik's problem for abelian varieties

## Corollary 1

Assume the hypotheses of the main result. For every subinterval I of $[-2 g, 2 g]$, there exists a prime $\mathfrak{p}$ not dividing $N$ such that $\bar{a}_{\mathfrak{p}} \in I$ and

$$
N \mathrm{~m}(\mathfrak{p})=O\left(\nu(\min \{|I|, \mu(I)\}) \cdot \log (2 N)^{2} \cdot \log (\log (4 N))^{4}\right) .
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The implicit constant in the $O$-notation depends exclusively on $k$ and $g$, and $\nu: \mathbb{R}_{>0} \rightarrow \mathbb{R}_{>0}$ is defined by

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\nu(z):=\max \left\{1, \frac{\log (z)^{6}}{z^{1 / \varepsilon}}\right\}
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## Sign variant of Linnik's problem for two elliptic curves

- On this slide, let $A, A^{\prime} \mathbb{Q}$ be elliptic curves of conductors $N, N^{\prime}$.
 If $A, A^{\prime}$ are not isogenous, then there exists $p \nmid N N^{\prime}$ such that $a_{p}(A) \neq a_{p}\left(A^{\prime}\right)$.
- Under GRH for Artin L-functions, such a $p$ can be taken with
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(Serre '86; using "Effective Chebotarev").
Theorem (Harris '09; corollary of Sato-Tate for $A \times A^{\prime}$ over $\mathbb{Q}$ )
If $A, A^{\prime}$ are not isogenous, then there exists $p \nmid N N^{\prime}$ such that $a_{p}(A) \cdot a_{p}\left(A^{\prime}\right)<0$.

- Under GRH for Symmetric power L-functions, such a p can be taken with (Bucur and Kedlaya '12; using "Effective Sato-Tate").


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(Serre '86; using "Effective Chebotarev").
Theorem (Harris '09; corollary of Sato-Tate for $A \times A^{\prime}$ over $\mathbb{Q}$ )
If $A, A^{\prime}$ are not isogenous, then there exists $p \nmid N N^{\prime}$ such that $a_{p}(A) \cdot a_{p}\left(A^{\prime}\right)<0$.

- Under GRH for Symmetric power L-functions, such a $p$ can be taken with

$$
p=O\left(\log \left(N N^{\prime}\right)^{2} \log \left(\log \left(2 N N^{\prime}\right)\right)^{6}\right)
$$

(Bucur and Kedlaya '12; using "Effective Sato-Tate").

Sign variant of Linnik's problem for two abelian varieties
Conjecture
If $\operatorname{Hom}\left(A, A^{\prime}\right)=0$, then there exists $\mathfrak{p} \nmid N N^{\prime}$ such that $a_{\mathfrak{p}}(A) \cdot a_{p}\left(A^{\prime}\right)<0$.

Corollary 2
Let $A, A^{\prime}$ be abelian varieties such that $\mathrm{ST}(A), \mathrm{ST}\left(A^{\prime}\right)$ are connected, and

$$
\mathrm{ST}\left(A \times A^{\prime}\right) \simeq \operatorname{ST}(A) \times \operatorname{ST}\left(A^{\prime}\right)
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Assume that GRH for $\Lambda\left(\Gamma(A) \otimes \Gamma^{\prime}\left(A^{\prime}\right), s\right)$ holds for all irreducible rep. $\Gamma, \Gamma^{\prime}$. Then, there exists $\mathfrak{p} \nmid N N^{\prime}$ such that $a_{\mathfrak{p}}(A) \cdot a_{\mathfrak{p}}\left(A^{\prime}\right)<0$ and

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\operatorname{Nm}(\mathfrak{p})=O\left(\log \left(2 N N^{\prime}\right)^{2} \log \left(\log \left(4 N N^{\prime}\right)\right)^{6}\right)
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\end{equation*}
$$

- Using Bach kernel integration, we can
- replace (1) with the weaker condition $\operatorname{Hom}\left(A, A^{\prime}\right)=0$. - improve (2) to $O\left(\log \left(2 N N^{\prime}\right)^{2}\right)$.

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## Frobenius traces attaining the Weil bound

- On this slide, let $A$ be an elliptic curve with CM (defined over $k$ ).
- Consider the set of "record primes"

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R(x)=\left\{\mathfrak{p} \mid N m(\mathfrak{p}) \leq x \text { and } a_{\mathfrak{p}}=\lfloor 2 \sqrt{N m(\mathfrak{p})}\rfloor\right\}
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\# R(x) \sim \frac{4}{3 \pi} \frac{x^{3 / 4}}{\log (x)} \quad \text { as } x \rightarrow \infty
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Suppose that GRH holds for the Hecke L-function attached to any integral power of the Hecke character of $A$. Then

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Suppose that GRH holds for the Hecke L-function attached to any integral power of the Hecke character of $A$. Then

$$
\# R(x) \asymp \frac{x^{3 / 4}}{\log (x)} \quad \text { for } x \gg 0
$$

${ }^{2}$ Added after the talk: As J. Achter pointed out to me during the JMM2020, this has been proven by K. James and and P. Pollack. Thus Corollary 3 only recovers a weaker and conditional form of James' and Pollack's result!

## Frobenius traces attaining the Weil bound

- A simple idea:

If $\operatorname{Nm}(\mathfrak{p}) \leq x$ and $\bar{a}_{\mathfrak{p}} \in I_{x}:=\left(2-x^{-1 / 2}, 2\right)$, then $a_{\mathfrak{p}}=\lfloor 2 \sqrt{N m(\mathfrak{p})}\rfloor$.

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- Using that $\mu\left(I_{x}\right)=\frac{1}{\pi} x^{-1 / 4}+O\left(x^{-3 / 4}\right)$, we find that


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$$

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$$
\# R(x) \geq \sum_{N m(\mathfrak{p}) \leq x} \delta_{l_{x}}\left(\bar{a}_{\mathfrak{p}}\right)=\mu\left(I_{x}\right) \operatorname{Li}(x)+O\left(x^{1 / 2} \log (N x) \log (x)\right)
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- If $A$ is a non CM elliptic curve, then $\mu\left(I_{x}\right)$ is tiny and the error term bigger. No hope for such an approach to count record primes! Nonetheless, Serre still conjectures:

$$
\# R(x) \sim \frac{8}{3 \pi} \frac{x^{1 / 4}}{\log (x)} \quad \text { as } x \rightarrow \infty
$$


[^0]:    ${ }^{1}$ Funded by the National Science Foundation grant DMS-1638352 and the Simons Foundation grant 550033.

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