

Sparse Resultants of Toric Cycles

Carlos D'Andrea

SIAM AG, Daejeon, August 2015



Computational Algebra, Algebraic Geometry & Applications



A Conference in honor of Alicia Dickenstein
Buenos Aires, Argentina, August 1–3 2016
<http://mate.dm.uba.ar/~coalaga/>



Resultants

Important for both **algorithmic** and **complexity** aspects of polynomial system solving



Example

Given

$$f_1(x_1, \dots, x_n) = 0, \dots, f_n(x_1, \dots, x_n) = 0$$

$$\deg(f_i) = d_i$$

Example

Given

$$f_1(x_1, \dots, x_n) = 0, \dots, f_n(x_1, \dots, x_n) = 0$$

$$\deg(f_i) = d_i$$

We get the i -th coordinates of the roots via

$$\text{Res}_{d_1, \dots, d_n}(f_1^i, \dots, f_n^i) = \\ \text{Res}_{1, d_1, \dots, d_n}(t - x_i, f_1, \dots, f_n)$$



Does the same happen in the sparse world?

$$\begin{aligned} \text{Res}_{\pi_i(\mathcal{A}_1), \dots, \pi_i(\mathcal{A}_n)}(f_1^i, \dots, f_n^i) \\ = \\ \text{Res}_{\{\mathbf{0}, \mathbf{e}_i\}, \mathcal{A}_1, \dots, \mathcal{A}_n}(t - x_i, f_1, \dots, f_n) \end{aligned} \quad ?$$

Sparse Resultants

Sparse Resultants

- $\mathcal{A}_0, \dots, \mathcal{A}_n \subset \mathbb{Z}^n$

Sparse Resultants

- $\mathcal{A}_0, \dots, \mathcal{A}_n \subset \mathbb{Z}^n$
- For $i = 0, \dots, n$, $f_i = \sum_{\mathbf{a} \in \mathcal{A}_i} c_{i,\mathbf{a}} \mathbf{x}^{\mathbf{a}}$

Sparse Resultants

- $\mathcal{A}_0, \dots, \mathcal{A}_n \subset \mathbb{Z}^n$
- For $i = 0, \dots, n$, $f_i = \sum_{\mathbf{a} \in \mathcal{A}_i} c_{i,\mathbf{a}} \mathbf{x}^{\mathbf{a}}$

The sparse resultant of f_0, \dots, f_n
should be

Sparse resultants

Sparse resultants

- A generator of

$$\langle f_0, \dots, f_n \rangle \cap \mathbb{Z}[c_{i,\mathbf{a}}, i = 0, \dots, n]$$

Sparse resultants

- A generator of

$$\langle f_0, \dots, f_n \rangle \cap \mathbb{Z}[c_{i,\mathbf{a}}, i = 0, \dots, n]$$

- The defining equation of

$$\begin{array}{ccc} W = \{(\mathbf{c}_{i,\mathbf{a}}, \mathbf{x}) : f_i = 0\} & \subset & \mathbb{P}^{m_0} \times \dots \times \mathbb{P}^{m_n} \times (\mathbb{C}^\times)^n \\ \downarrow & & \downarrow \pi \\ \pi(W) & \subset & \mathbb{P}^{m_0} \times \dots \times \mathbb{P}^{m_n} \end{array}$$

Issues

Issues

- $\mathcal{A}_0 = \mathcal{A}_1 = \mathcal{A}_2 = \{(0, 0), (1, 0)\}$

Issues

- $\mathcal{A}_0 = \mathcal{A}_1 = \mathcal{A}_2 = \{(0, 0), (1, 0)\}$
- $f_0 = c_{00} + c_{01}x_1$, $f_1 = c_{10} + c_{11}x_1$, $f_2 = c_{20} + c_{21}x_1$

Issues

- $\mathcal{A}_0 = \mathcal{A}_1 = \mathcal{A}_2 = \{(0, 0), (1, 0)\}$
- $f_0 = c_{00} + c_{01}x_1$, $f_1 = c_{10} + c_{11}x_1$, $f_2 = c_{20} + c_{21}x_1$
- $\langle f_0, f_1, f_2 \rangle \cap \mathbb{Z}[c_{ij}]$ is not principal

Issues

- $\mathcal{A}_0 = \mathcal{A}_1 = \mathcal{A}_2 = \{(0, 0), (1, 0)\}$
- $f_0 = c_{00} + c_{01}x_1$, $f_1 = c_{10} + c_{11}x_1$, $f_2 = c_{20} + c_{21}x_1$
- $\langle f_0, f_1, f_2 \rangle \cap \mathbb{Z}[c_{ij}]$ is not principal
- $\pi(W)$ does not have codimension 1

Classical definition (GKZ, Sturmfels,...)

Classical definition (GKZ, Sturmfels,...)

- Irreducibility

Classical definition (GKZ, Sturmfels,...)

- Irreducibility
- homogeneities

Classical definition (GKZ, Sturmfels,...)

- Irreducibility
- homogeneities
- all sort of extremal coefficients

Classical definition (GKZ, Sturmfels,...)

- Irreducibility
- homogeneities
- all sort of extremal coefficients
- determinantal formulae

Classical definition (GKZ, Sturmfels,...)

- Irreducibility
- homogeneities
- all sort of extremal coefficients
- determinantal formulae
- . . .

Classical definition (GKZ, Sturmfels,...)

- Irreducibility
- homogeneities
- all sort of extremal coefficients
- determinantal formulae
- ...
- **But you lose some geometrical invariants**

Our motivation

(D- Galligo-Sombra, AMJ 2014)

Give a close (and elegant) formula for

$$\text{Res}_{\{\mathbf{0}, \mathbf{a}\}, \mathcal{A}_1, \dots, \mathcal{A}_n} (t - x^{\mathbf{a}}, f_1, \dots, f_n)$$

Our motivation

(D- Galligo-Sombra, AMJ 2014)

Give a close (and elegant) formula for

$$\text{Res}_{\{\mathbf{0}, \mathbf{a}\}, \mathcal{A}_1, \dots, \mathcal{A}_n}(t - x^{\mathbf{a}}, f_1, \dots, f_n)$$

for **all** $\mathbf{a} \in \mathbb{Z}^n$

Our motivation

(D- Galligo-Sombra, AMJ 2014)

Give a close (and elegant) formula for

$$\text{Res}_{\{\mathbf{0}, \mathbf{a}\}, \mathcal{A}_1, \dots, \mathcal{A}_n}(t - x^{\mathbf{a}}, f_1, \dots, f_n)$$

for **all** $\mathbf{a} \in \mathbb{Z}^n$

$$\text{Res}_{\{\mathbf{0}, \mathbf{e}_i\}, \mathcal{A}_1, \mathcal{A}_2}(t - x_i, f_1(x_1), f_2(x_2)) = f_i(t)$$

Sparse Resultants of Toric Cycles

Sparse Resultants of Toric Cycles

(D-Sombra PLMS 2015)

$$\begin{array}{ccc} W = \{(\mathbf{c}_{i,a}, \mathbf{x}) : f_i = 0\} & \subset & \mathbb{P}^{m_0} \times \dots \times \mathbb{P}^{m_n} \times (\mathbb{C}^\times)^n \\ \downarrow & & \downarrow \pi \\ \pi(W) & \subset & \mathbb{P}^{m_0} \times \dots \times \mathbb{P}^{m_n} \end{array}$$

Sparse Resultants of Toric Cycles

(D-Sombra PLMS 2015)

$$\begin{array}{ccc} W = \{(\mathbf{c}_{i,a}, \mathbf{x}) : f_i = 0\} & \subset & \mathbb{P}^{m_0} \times \dots \times \mathbb{P}^{m_n} \times (\mathbb{C}^\times)^n \\ \downarrow & & \downarrow \pi \\ \pi(W) & \subset & \mathbb{P}^{m_0} \times \dots \times \mathbb{P}^{m_n} \end{array}$$

$\text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n}$ is the defining equation of
the direct image $\pi_* W$

Example

Example

- $\mathcal{A}_0 = \mathcal{A}_1 = \mathcal{A}_2 = \{(0, 0), (2, 0), (0, 2)\}$

Example

- $\mathcal{A}_0 = \mathcal{A}_1 = \mathcal{A}_2 = \{(0, 0), (2, 0), (0, 2)\}$
- $f_i = c_{i0} + c_{i1}x_1^2 + c_{i2}x_2^2$

Example

- $\mathcal{A}_0 = \mathcal{A}_1 = \mathcal{A}_2 = \{(0, 0), (2, 0), (0, 2)\}$
- $f_i = c_{i0} + c_{i1}x_1^2 + c_{i2}x_2^2$
- Classically: $\text{Res}_{\mathcal{A}_0, \mathcal{A}_1, \mathcal{A}_2} = \det(c_{ij})$

Example

- $\mathcal{A}_0 = \mathcal{A}_1 = \mathcal{A}_2 = \{(0, 0), (2, 0), (0, 2)\}$
- $f_i = c_{i0} + c_{i1}x_1^2 + c_{i2}x_2^2$
- Classically: $\text{Res}_{\mathcal{A}_0, \mathcal{A}_1, \mathcal{A}_2} = \det(c_{ij})$
- With the new definition, $\text{Res}_{\mathcal{A}_0, \mathcal{A}_1, \mathcal{A}_2} = \det(c_{ij})^4$

With the new definition

With the new definition

- $\text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n}$ is not irreducible anymore

With the new definition

- $\text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n}$ is not irreducible anymore but the power of an irreducible element (the old resultant)

With the new definition

- $\text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n}$ is not irreducible anymore but the power of an irreducible element (the old resultant)
- $\deg_{C_{i,a}}(\text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n}) = MV(Q_0, \dots, \hat{Q}_i, \dots, Q_n)$
 $Q_i = \text{convex hull}(\mathcal{A}_i)$

Algebra meets Geometry

(if $\pi(W)$ has codimension one)

$$\text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n}(f_0, \dots, f_n) = 0$$



$$\exists p \in X_{\mathcal{A}} \mid f_0(p) = \dots = f_n(p) = 0$$

Algebra meets Geometry

(if $\pi(W)$ has codimension one)

$$\text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n}(f_0, \dots, f_n) = 0$$



$$\exists p \in X_{\mathcal{A}} \mid f_0(p) = \dots = f_n(p) = 0$$

$X_{\mathcal{A}}$ is a toric variety defined by $\mathcal{A}_0, \dots, \mathcal{A}_n$ with respect to $(\mathbb{C}^\times)^n$

Toric Varieties vs Toric Cycles

$$\mathcal{A}_i = \{a_{i,0}, \dots, a_{i,m_i}\} \subset \mathbb{Z}^n$$

$$\begin{aligned} \varphi_{\mathcal{A}}: (\mathbb{C}^\times)^n &\rightarrow \mathbb{P}^{m_0} \times \dots \times \mathbb{P}^{m_n} \\ \xi &\mapsto \left((\xi^{a_{0,0}} : \dots : \xi^{a_{0,m_0}}), \dots, (\xi^{a_{n,0}} : \dots : \xi^{a_{n,m_n}}) \right) \end{aligned}$$

is a monomial map

Toric Varieties vs Toric Cycles

$$\mathcal{A}_i = \{a_{i,0}, \dots, a_{i,m_i}\} \subset \mathbb{Z}^n$$

$$\begin{aligned} \varphi_{\mathcal{A}}: (\mathbb{C}^\times)^n &\rightarrow \mathbb{P}^{m_0} \times \dots \times \mathbb{P}^{m_n} \\ \xi &\mapsto \left((\xi^{a_{0,0}} : \dots : \xi^{a_{0,m_0}}), \dots, (\xi^{a_{n,0}} : \dots : \xi^{a_{n,m_n}}) \right) \end{aligned}$$

is a monomial map

- $X_{\mathcal{A}} = \overline{\varphi_{\mathcal{A}}((\mathbb{C}^\times)^n)}$ is a multiprojective toric subvariety

Toric Varieties vs Toric Cycles

$$\mathcal{A}_i = \{a_{i,0}, \dots, a_{i,m_i}\} \subset \mathbb{Z}^n$$

$$\begin{aligned} \varphi_{\mathcal{A}}: (\mathbb{C}^\times)^n &\rightarrow \mathbb{P}^{m_0} \times \dots \times \mathbb{P}^{m_n} \\ \xi &\mapsto ((\xi^{a_{0,0}} : \dots : \xi^{a_{0,m_0}}), \dots, (\xi^{a_{n,0}} : \dots : \xi^{a_{n,m_n}})) \end{aligned}$$

is a monomial map

- $X_{\mathcal{A}} = \overline{\varphi_{\mathcal{A}}((\mathbb{C}^\times)^n)}$ is a multiprojective toric subvariety
- $Z_{\mathcal{A}} = (\varphi_{\mathcal{A}})_*(\mathbb{C}^\times)^n$ is a toric cycle

Resultant of cycles

Classically, $\text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n}$ was connected
with the *Chow form* of $X_{\mathcal{A}}$

Resultant of cycles

Classically, $\text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n}$ was connected
with the *Chow form* of $X_{\mathcal{A}}$

Our definition coincides with the
resultant (in the sense of Rémond) of
the cycle $Z_{\mathcal{A}}$

Rémond's resultants of cycles

(Élimination multihomogène LNM 1752, 2001)

To a multiprojective d -dimensional
 $X \subset \mathbb{P}^{c_1} \times \dots \times \mathbb{P}^{c_k}$ and $d + 1$ multihomogeneous
forms F_0, \dots, F_d

Rémond's resultants of cycles

(Élimination multihomogène LNM 1752, 2001)

To a multiprojective d -dimensional
 $X \subset \mathbb{P}^{c_1} \times \dots \times \mathbb{P}^{c_k}$ and $d + 1$ multihomogeneous
forms F_0, \dots, F_d
one defines $\text{Res}_X(F_0, \dots, F_d)$ such that if
 $|X| \cap V(F_0)$ cut properly, then

Rémond's resultants of cycles

(Élimination multihomogène LNM 1752, 2001)

To a multiprojective d -dimensional
 $X \subset \mathbb{P}^{c_1} \times \dots \times \mathbb{P}^{c_k}$ and $d + 1$ multihomogeneous
forms F_0, \dots, F_d

one defines $\text{Res}_X(F_0, \dots, F_d)$ such that if
 $|X| \cap V(F_0)$ cut properly, then

$$\text{Res}_X(F_0, \dots, F_d) = \text{Res}_{X \cdot Z(F_0)}(F_1, \dots, F_d)$$

With this tool, it is easy to prove

(D-Sombra PLMS 2015)

With this tool, it is easy to prove

(D-Sombra PLMS 2015)

- $\text{Res}_{\mathcal{A}_0 + \mathcal{A}'_0, \dots, \mathcal{A}_n} = \text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n} \cdot \text{Res}_{\mathcal{A}'_0, \dots, \mathcal{A}_n}$

With this tool, it is easy to prove

(D-Sombra PLMS 2015)

- $\text{Res}_{\mathcal{A}_0 + \mathcal{A}'_0, \dots, \mathcal{A}_n} = \text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n} \cdot \text{Res}_{\mathcal{A}'_0, \dots, \mathcal{A}_n}$
- $\text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n} = \prod_v \text{Res}_{\mathcal{A}_{1,v}, \dots, \mathcal{A}_{n,v}}^{-h_{\mathcal{A}_0}(v)} \cdot \left(\prod_{\xi} f_0(\xi)^{m_{\xi}} \right)$
 $\xi \in V(f_1, \dots, f_n)$

With this tool, it is easy to prove

(D-Sombra PLMS 2015)

- $\text{Res}_{\mathcal{A}_0 + \mathcal{A}'_0, \dots, \mathcal{A}_n} = \text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n} \cdot \text{Res}_{\mathcal{A}'_0, \dots, \mathcal{A}_n}$
- $\text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n} = \prod_v \text{Res}_{\mathcal{A}_{1,v}, \dots, \mathcal{A}_{n,v}}^{-h_{\mathcal{A}_0}(v)} \cdot \left(\prod_{\xi} f_0(\xi)^{m_{\xi}} \right)$
 $\xi \in V(f_1, \dots, f_n)$
- “hidden variables”:

$$\text{Res}_{\pi_i(\mathcal{A}_1), \dots, \pi_i(\mathcal{A}_n)}(f_1^i, \dots, f_n^i) = \pm x_i^d \text{Res}_{\{\mathbf{0}, \mathbf{e}_i\}, \mathcal{A}_1, \dots, \mathcal{A}_n}(t - x_i, f_1, \dots, f_n) \Big|_{t=x_i}$$

Initial forms

(D-Jerónimo-Sombra)

For $\omega \in \prod_{i=0}^n \mathbb{R}^{\mathcal{A}_i}$, $\omega = (\omega_{i,a})$

Initial forms

(D-Jerónimo-Sombra)

For $\omega \in \prod_{i=0}^n \mathbb{R}^{\mathcal{A}_i}$, $\omega = (\omega_{i,a})$

$$\text{init}_{\omega}(\text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n}) = \pm \prod_{\mathbf{v}} \text{Res}_{\mathcal{A}_0, \mathbf{v}, \dots, \mathcal{A}_n, \mathbf{v}}(f_{0, \mathbf{v}} \cdots f_{n, \mathbf{v}}),$$

Initial forms

(D-Jerónimo-Sombra)

For $\omega \in \prod_{i=0}^n \mathbb{R}^{\mathcal{A}_i}$, $\omega = (\omega_{i,a})$

$$\text{init}_{\omega}(\text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n}) = \pm \prod_{\mathbf{v}} \text{Res}_{\mathcal{A}_0, \mathbf{v}, \dots, \mathcal{A}_n, \mathbf{v}}(f_{0, \mathbf{v}} \cdots, f_{n, \mathbf{v}}),$$

the product is over all primitive $\mathbf{v} \in \mathbb{Z}^{n+1}$ inner normals to a facet of the lower envelope of the “lifted” polytopes $Q_{0, \omega_0} + \cdots + Q_{n, \omega_n}$

Vanishing Coefficients

(D-Jerónimo-Sombra)

Vanishing Coefficients

(D-Jerónimo-Sombra)

\tilde{f}_i with support $\tilde{\mathcal{A}}_i \subset \mathcal{A}_i$

Vanishing Coefficients

(D-Jerónimo-Sombra)

\tilde{f}_i with support $\tilde{\mathcal{A}}_i \subset \mathcal{A}_i$

$$\text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n}(\tilde{f}_0, \dots, \tilde{f}_n) = \pm \text{Res}_{\tilde{\mathcal{A}}_0, \dots, \tilde{\mathcal{A}}_n} \cdot \prod_{\mathbf{v}} \text{Res}_{\mathcal{A}_0, \mathbf{v}, \dots, \mathcal{A}_n, \mathbf{v}}$$

iff the CMD is “relevant”, otherwise it vanishes identically

More Homogeneities

(D-Jerónimo-Sombra)

$$\text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n} (f_0(\lambda_1 x_1, \dots, \lambda_n x_n), \dots, f_n(\lambda_1 x_1, \dots, \lambda_n x_n)) \\ = \\ \lambda_1^{A_1} \dots \lambda_n^{A_n} \cdot \text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n}$$

More Homogeneities

(D-Jerónimo-Sombra)

$$\text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n} (f_0(\lambda_1 x_1, \dots, \lambda_n x_n), \dots, f_n(\lambda_1 x_1, \dots, \lambda_n x_n)) \\ = \\ \lambda_1^{A_1} \dots \lambda_n^{A_n} \cdot \text{Res}_{\mathcal{A}_0, \dots, \mathcal{A}_n}$$

where

$$A_i = MV_{\mathbb{Z}^{n+1}}(Q_{0,i,\mu_0}, \dots, Q_{n,i,\mu_n}) \\ + \sum_{j=0}^n \mu_j MV_{\mathbb{Z}^n}(Q_0, \dots, Q_{i-1}, Q_{i+1}, \dots, Q_n)$$

with $\mu_j \ll 0$, and $Q_{j,i,\mu_j} =$
convex hull $(\{(\mathbf{x}, x_i), (\mathbf{x}, \mu_j) \mid \mathbf{x} \in Q_j\}) \subset \mathbb{R}^{n+1}$

“Sylvester type” formulae

(D-Jeronimo-Sombra)

Work in Progress!

$$\mathcal{R}(f_0, f_1, f_2) = \det \begin{bmatrix} -b_1 & -b_3 & 0 & a_1 & a_3 & 0 & 0 & 0 & 0 & 0 \\ -b_0 & -b_2 & 0 & a_0 & a_2 & 0 & 0 & 0 & 0 & 0 \\ 0 & -b_1 & -b_3 & 0 & a_1 & a_3 & 0 & 0 & 0 & 0 \\ 0 & -b_0 & -b_2 & 0 & a_0 & a_2 & 0 & 0 & 0 & 0 \\ -c_4 & -c_5 & -c_8 & 0 & 0 & 0 & a_1 & 0 & a_3 & 0 \\ -c_1 & -c_3 & -c_7 & 0 & 0 & 0 & a_0 & a_1 & a_2 & a_3 \\ -c_0 & -c_2 & -c_6 & 0 & 0 & 0 & 0 & a_0 & 0 & a_2 \\ 0 & 0 & 0 & -c_4 & -c_5 & -c_8 & b_1 & 0 & b_3 & 0 \\ 0 & 0 & 0 & -c_1 & -c_3 & -c_7 & b_0 & b_1 & b_2 & b_3 \\ 0 & 0 & 0 & -c_0 & -c_2 & -c_6 & 0 & b_0 & 0 & b_2 \end{bmatrix}$$

Thanks!



<http://mate.dm.uba.ar/~coalaga/>