

Quantitative Equidistribution for the Solutions of Systems of Sparse Polynomial Equations

Carlos D'Andrea, André Galligo, Martín Sombra

IV Congreso Latinoamericano de Matemáticos
Córdoba (AR) August 2012



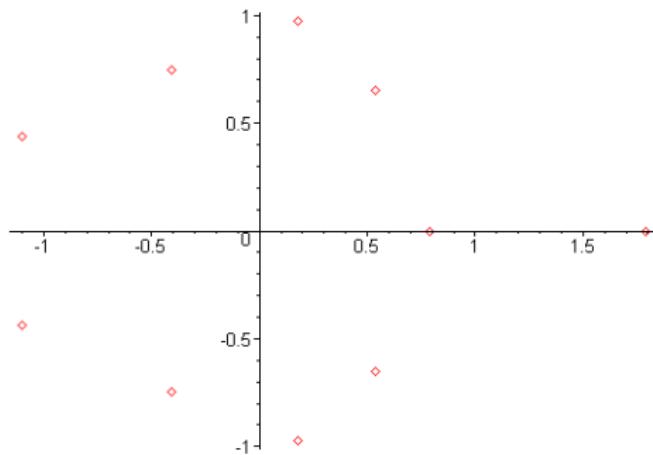
Univariate equidistribution

- Some experiments with polynomials with bounded (integer) coefficients.
- The degree d will be large
- The coefficients are picked randomly in $\{-1, 0, 1\}$.
- How do the (complex) roots behave?

First experiment

$d = 10$ and

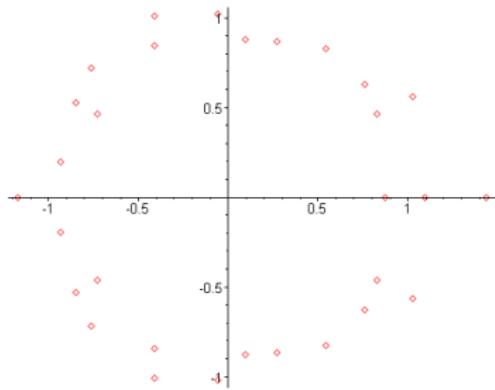
$$f = -x^{10} + x^9 + x^8 + x^6 + x^5 - x^4 + x^3 - x^2 + x - 1$$



Second

$d = 30$ and

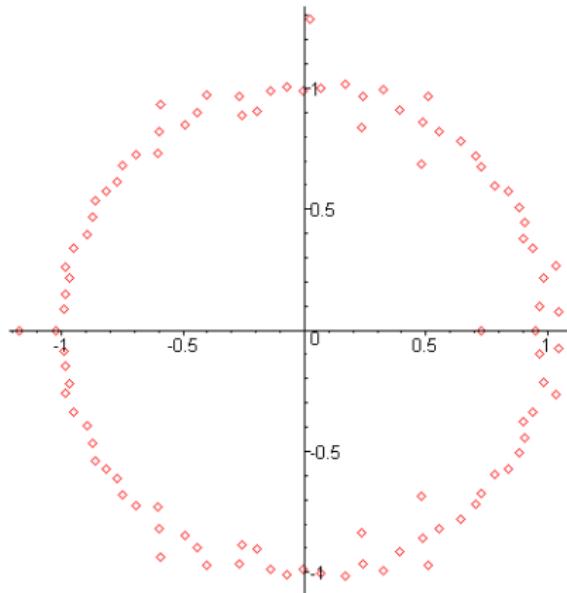
$$\begin{aligned}f = & x^{30} - x^{29} - x^{28} + x^{26} + x^{25} - x^{24} - x^{23} - x^{22} \\& + x^{21} - x^{20} + x^{19} + x^{18} + x^{16} + x^{15} - x^{14} \\& + x^{13} + x^{12} + x^{10} + x^9 - x^6 + x^5 - 1\end{aligned}$$



Third

$d = 100$ and

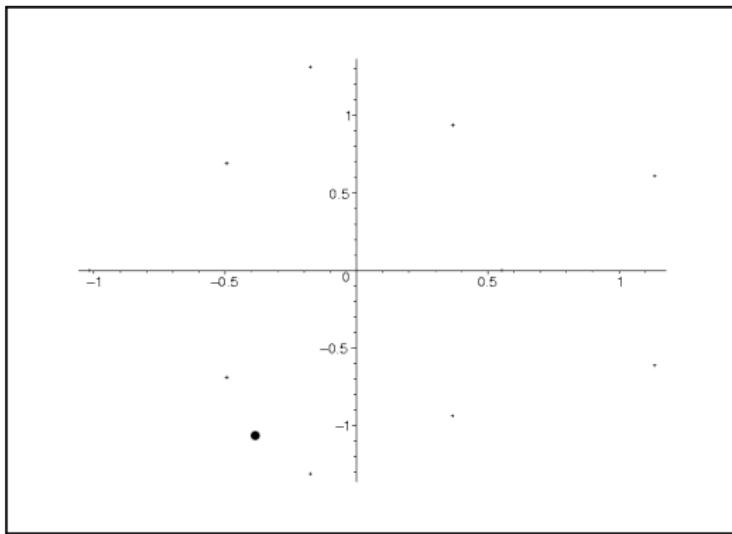
$$f = -x^{100} - x^{98} + x^{96} + x^{94} - x^{93} + x^{92} - x^{91} - x^{90} + \dots$$



A more ambitious experiment

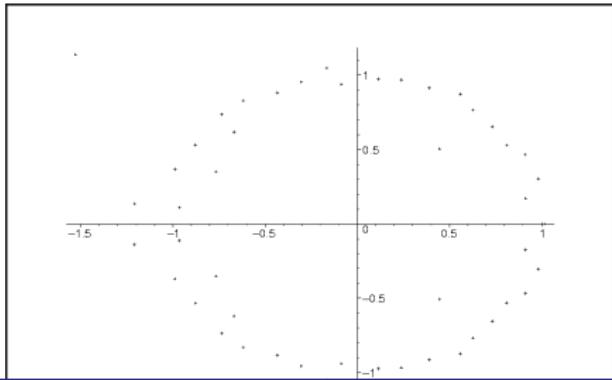
Let us say now that f has degree $d \gg 0$ with integer (random) coefficients between $-d$ and d . What happens now?

$d = 10$ and $f = -6 + 8x - x^2 + 10x^3 - 3x^4 + 8x^5 + 4x^6 - 9x^7 + 9x^8 - 6x^9 + 5x^{10}$



$d = 50$ and

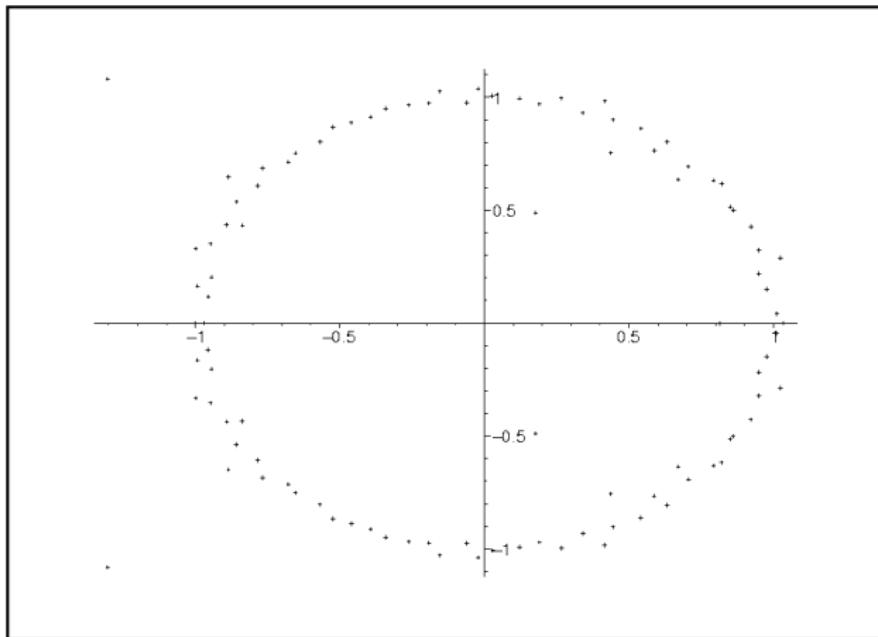
$$f = -24 + 12x - 44x^{48} - 48x^{49} - 42x^{28} + 15x^{29} + 34x^{26} + 22x^{27} - 24x^{24} + 29x^{25} + 14x^2 - 40x^3 - 48x^4 + 35x^5 + 24x^6 + 27x^7 - 3x^8 - 15x^9 - 21x^{10} + 12x^{14} - 15x^{50} - 14x^{33} + 38x^{34} + 10x^{35} - 23x^{36} + 48x^{37} + 30x^{38} - 23x^{39} - 31x^{40} + 2x^{41} + 24x^{42} + 9x^{43} - 15x^{44} - 29x^{45} + 45x^{46} + 40x^{47} + 40x^{31} - 40x^{32} + 38x^{11} + 8x^{12} - 16x^{13} - 39x^{15} + 2x^{16} - 38x^{17} - x^{18} + 16x^{19} - 44x^{20} - 20x^{21} + 22x^{22} + 28x^{23} + 32x^{30}$$



$d = 100$ and

$f =$

$$\begin{aligned} & 30 - 45x - 91x^{74} - 33x^{75} + 4x^{73} - 59x^{79} + 35x^{92} - 57x^{48} + 49x^{49} + 2x^{93} - 87x^{28} - 16x^{29} - \\ & 78x^{26} - 31x^{27} + 19x^{50} - 73x^{24} - 63x^{25} + 98x^2 + 29x^3 - 97x^4 + 47x^5 + 46x^6 - 88x^7 - 74x^8 - \\ & 60x^9 - 62x^{10} - 27x^{81} - 82x^{80} - 92x^{78} - 50x^{77} - 41x^{76} - 21x^{95} + 8x^{66} - 7x^{67} + 75x^{64} - \\ & 19x^{94} - 48x^{63} + 92x^{65} - 18x^{60} + 53x^{61} + 84x^{59} - 15x^{57} - 13x^{58} - 64x^{91} + 84x^{90} - 54x^{89} + \\ & 67x^{55} - 81x^{56} - 27x^{54} - 61x^{88} + 43x^{87} + 49x^{86} + 51x^{84} - 12x^{85} - 64x^{83} + 52x^{82} + 43x^{70} - \\ & 91x^{71} - 97x^{72} + 76x^{68} + 14x^{69} + 73x^{99} - 56x^{97} + 41x^{98} + 73x^{96} + 44x^{100} + 2x^{51} - 79x^{52} + \\ & 87x^{53} - 43x^{14} + 39x^{62} + 50x^{33} + 53x^{34} + 64x^{35} + 57x^{36} - 57x^{37} - 31x^{38} + 85x^{39} + 30x^{40} - \\ & 49x^{41} + 6x^{42} - 82x^{43} + 34x^{44} + 59x^{45} + 7x^{46} + 91x^{47} + 59x^{31} + 58x^{32} - 4x^{11} - 71x^{12} - \\ & 68x^{13} + 74x^{15} + 60x^{16} - 3x^{17} + 23x^{18} - 55x^{19} + 80x^{20} - 32x^{21} + 17x^{22} - 14x^{23} - 69x^{30} \end{aligned}$$



The Erdős-Turán theorem

Let $f(x) = a_d x^d + \cdots + a_0 = a_d (x - \rho_1 e^{i\theta_1}) \cdots (x - \rho_d e^{i\theta_d})$

Definition

The *angle discrepancy* of f is

$$\Delta_\theta(f) := \sup_{0 \leq \alpha < \beta < 2\pi} \left| \frac{\#\{k : \alpha \leq \theta_k < \beta\}}{d} - \frac{\beta - \alpha}{2\pi} \right|$$

for $1 > \varepsilon > 0$, the ε -radius discrepancy of f is

$$\Delta_r(f; \varepsilon) := 1 - \frac{1}{d} \# \left\{ k : 1 - \varepsilon < \rho_k < \frac{1}{1 - \varepsilon} \right\}$$

Theorem

[Erdős-Turán 1948], [Hughes-Nikeghbali 2008]

$$\Delta_\theta(f) \leq c \sqrt{\frac{1}{d} \log \left(\frac{\|f\|}{\sqrt{|a_0 a_d|}} \right)}$$

$$\Delta_r(f; \varepsilon) \leq \frac{2}{\varepsilon d} \log \left(\frac{\|f\|}{\sqrt{|a_0 a_d|}} \right)$$

with $\|f\| := \sup_{|z|=1} |f(z)|$

$\sqrt{2} \leq c \leq 2,5619$ [Amoroso-Mignotte 1996]

Corollary: the equidistribution

Let $\{f_d(x)\}_{d \geq 1}$ be such that $\log\left(\frac{\|f_d\|}{\sqrt{|a_{d,0}a_{d,d}|}}\right) = o(d)$,
then

$$\lim_{d \rightarrow \infty} \frac{1}{d} \#\left\{k : \alpha \leq \theta_{dk} < \beta\right\} = \frac{\beta - \alpha}{2\pi}$$

$$\lim_{d \rightarrow \infty} \frac{1}{d} \#\left\{k : 1 - \varepsilon < \rho_{dk} < \frac{1}{1 - \varepsilon}\right\} = 1$$

Some consequences

- 1 The number of real roots of f is

$$\leq 51 \sqrt{d \log \left(\frac{\|f\|}{\sqrt{|a_0 a_d|}} \right)} \quad [\text{Erhardt-Schur-Szegő}]$$

- 2 If $g(z) = 1 + b_1 z + b_2 z^2 + \dots$ converges on the unit disk, then the zeros of its d -partial sums distribute uniformly on the unit circle as $d \rightarrow \infty$
[Jentzsch-Szegő]

Equidistribution in several variables

- * For a finite sequence of points $\mathbf{P} = \{\mathbf{p}_1, \dots, \mathbf{p}_m\} \subset (\mathbb{C}^\times)^n$, we can define $\Delta_\theta(\mathbf{P})$ and $\Delta_r(\mathbf{P}, \varepsilon)$
- * Every such set \mathbf{P} is the solution set of a complete intersection $\mathbf{f} = 0$ with $\mathbf{f} = (f_1, \dots, f_n)$ Laurent Polynomials in $\mathbb{C}[x_1^{\pm 1}, \dots, x_n^{\pm 1}]$

Problem

- * Estimate $\Delta_\theta(P)$ and $\Delta_r(P, \varepsilon)$ in terms of f
- * Which is the analogue of $\frac{\|f\|}{\sqrt{|a_0 a_d|}}$ in several variables?
- * Equidistribution theorems

Related results

- Angular equidistribution in terms of fewnomials (Khovanskii)
- Equidistribution of points of low height in $\overline{\mathbb{Q}}^n$ (Bilu, Petsche, Favre & Rivera-Letelier) and in Berkovich's spaces (Chambert-Loir)
- Equidistribution in $\mathbb{P}_{\mathbb{C}}^n$ by using other measures like Haar: $\langle f, g \rangle = \int_{S^{2n-1}} f \overline{g} d\mu$ (Shub & Smale Shiffmann & Zelditch)

Our setting

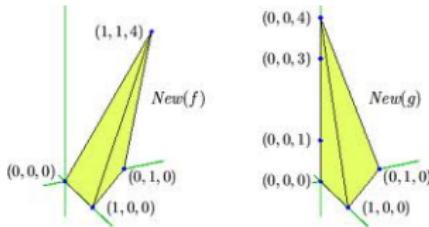
Given $f_1, \dots, f_n \in \mathbb{C}[x_1^{\pm 1}, \dots, x_n^{\pm 1}]$ consider

$$V(f_1, \dots, f_n) = \{\xi \in (\mathbb{C}^\times)^n : f_1(\xi) = \dots = f_n(\xi) = 0\} \subset (\mathbb{C}^\times)^n$$

and V_0 the subset of isolated points Set $Q_i := N(f_i) \subset \mathbb{R}^n$ the Newton polytope, then

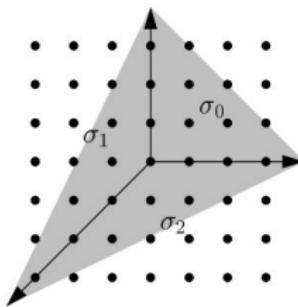
$$\#V_0 \leq MV_n(Q_1, \dots, Q_n) =: D \quad [\text{BKK}]$$

From now on, we will assume $\#V_0 = D$, in particular $V(f) = V_0$.



A compactification of $(\mathbb{C}^\times)^n$

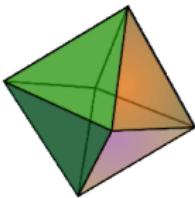
$\#V_0 = D$ means that the system $f_1 = 0, \dots, f_n = 0$ does not have solutions “at infinity” in the projective toric variety associated to the polytope $Q_1 + Q_2 + \dots + Q_n$
[Bernstein 1975]



Can be tested with sparse resultants!

A multivariate Erdős-Turán measure

f \leftrightarrow “multidirectional” Chow forms
 a_0, a_d \leftrightarrow facet resultants



$$E_{f,\mathbf{a}}(z) = \text{Res}_{\{\mathbf{0}, \mathbf{a}\}, \mathcal{A}_1, \dots, \mathcal{A}_n}(z - x^\mathbf{a}, f_1, \dots, f_n)$$

$$\eta(f) = \sup_{\mathbf{a} \in \mathbb{Z}^n \setminus \{\mathbf{0}\}} \frac{1}{D\|\mathbf{a}\|} \log \left(\frac{\|E_{f,\mathbf{a}}(z)\|}{\prod_v |\text{Res}_{\mathcal{A}_1^v, \dots, \mathcal{A}_n^v}(f_1^v, \dots, f_n^v)|^{\frac{|\langle v, \mathbf{a} \rangle|}{2}}} \right)$$

$$\eta(f) < \infty$$

Suppose $Q_i \subset d_i\Delta + \mathbf{a}_i$, with Δ being the fundamental simplex of \mathbb{R}^n . Then

$$\begin{aligned}\eta(f) &< \frac{1}{D} \left(2nd_1 \dots d_n \sum_{j=1}^n \frac{\log \|f_j\|_{\sup}}{d_j} + \right. \\ &\quad \left. \frac{1}{2} \sum_{\mathbf{v}} \|\mathbf{v}\| \log^+ |\text{Res}_{\mathcal{A}_1^\mathbf{v}, \dots, \mathcal{A}_n^\mathbf{v}}(f_1^\mathbf{v}, \dots, f_n^\mathbf{v})^{-1}| \right)\end{aligned}$$

In particular, for $f_1, \dots, f_n \in \mathbb{Z}[x_1, \dots, x_n]$, of degrees d_1, \dots, d_n , then

$$\eta(f) \leq 2n \sum_{j=1}^n \frac{\log \|f_j\|_{\sup}}{d_j}$$

Theorem (D-Galligo-Sombra)

- For $n = 1$, $\eta(f)$ coincides with the Erdős-Turán measure
$$\frac{\|f\|}{\sqrt{|a_0 a_D|}}$$
- If $f_1, \dots, f_n \in \mathbb{C}[x_1^{\pm 1}, \dots, x_n^{\pm 1}]$ and $f = 0$ has $D > 0$ zeroes, then

$$\Delta_\theta(f) \leq c(n) \eta(f)^{\frac{1}{3}} \log^+ \left(\frac{1}{\eta(f)} \right) \quad , \quad \Delta_r(f; \varepsilon) \leq c(n) \eta(f)$$

with $c(n) \leq 2^{3n} n^{\frac{n+1}{2}}$

Corollary (D-Galligo-Sombra)

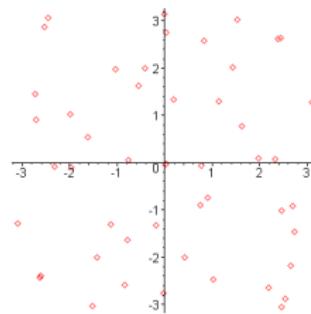
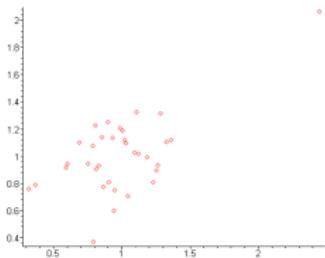
The number of real roots of a sparse system $\mathbf{f} = 0$ with $f_1, \dots, f_n \in \mathbb{R}[x_1^{\pm 1}, \dots, x_n^{\pm 1}]$ is bounded above by

$$D c'(n) \eta(\mathbf{f})^{\frac{1}{3}} \log^+ \left(\frac{1}{\eta(\mathbf{f})} \right)$$

with $c'(n) \leq 2^{4n} n^{\frac{n+1}{2}}$

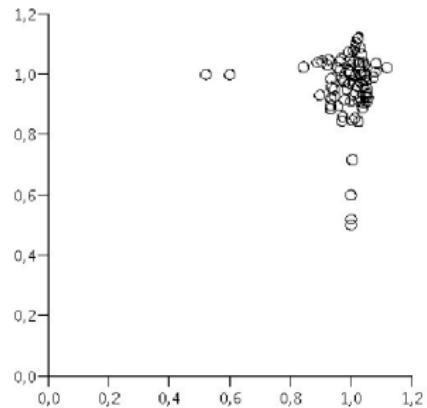
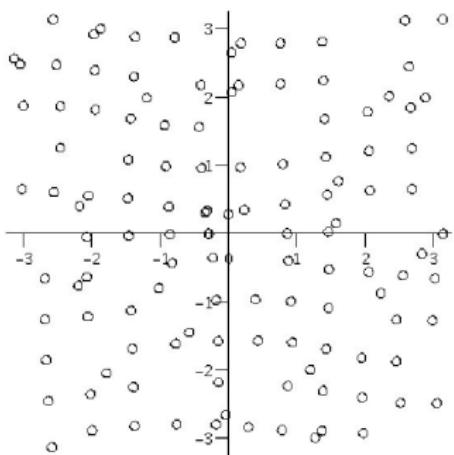
Dense Example

$$\begin{aligned}f_1 &= x_1^7 + x_1^6x_2 + x_1^5x_2^2 - x_1^4x_2^3 + x_1^3x_2^4 + x_1x_2^6 - x_2^7 - x_1^6 + x_1^4x_2^2 \\&\quad - x_1^3x_2^3 + x_1^2x_2^4 + x_1x_2^5 + x_2^6 - x_1^5 - x_1^4x_2 + x_1x_2^4 - x_1^4 + x_1^3x_2 \\&\quad + x_1x_2^3 - x_1^3 - x_1^2x_2 + x_1x_2^2 + x_1^2 - x_1x_2 - x_1 - x_2 - 1 \\f_2 &= -x_1^7 - x_1^5x_2^2 + x_1^4x_2^3 + x_1^3x_2^4 - x_1^2x_2^5 - x_2^7 + x_1^5x_2 - x_1x_2^5 - x_2^6 \\&\quad + x_1^5 + x_1^4x_2 - x_1^2x_2^3 - x_1x_2^4 + x_1^2x_2^2 - x_2^4 - x_1^3 - x_1^2x_2 + x_1x_2^2 \\&\quad - x_2^3 + x_1 + x_2 + 1\end{aligned}$$



Sparse Example

$$f_1 = x_1^{13} + x_1x_2^{12} + x_2^{13} + 1, \quad f_2 = x_1^{12}x_2 - x_2^{13} - x_1x_2 + 1.$$



Sketch of the proof

- For Δ_r we apply Erdős-Turán to $E(f, e_i)$, with $\{e_1, \dots, e_n\}$ the canonical basis of \mathbb{Z}^n
- For Δ_θ , we must apply E-T to $E(f, a)$ for all $a \in \mathbb{Z}^n$ to estimate the exponential sums on its roots, then compare it with the equidistribution by tomography via Fourier analysis
- In order to bound $\eta(f)$, for $E_{f,a}(z) = \text{Res}_{\{\mathbf{0}, \mathbf{a}\}, \mathcal{A}_1, \dots, \mathcal{A}_n}(z - x^a, f_1, \dots, f_n)$ we get

$$\log \|E_{f,a}(z)\| \leq \|a\| \sum_{j=1}^n \text{MV}_{n-1}(\pi_a(Q_k) : k \neq j) \log \|f_j\|$$



Thanks!

